

ADVOCATING THROUGH THE UN HUMAN RIGHTS SYSTEM

How to use selected human rights mechanisms for effective advocacy – guidance and Helvetas experience



CONTENT

IN.	TRODUCTION
1	UNDERSTANDING THE UNITED NATIONS HUMAN RIGHTS SYSTEM5
2	TREATY BASED MECHANISMS 8 2.1 Treaty based committees 8 2.1.1 Periodic reviews 9 2.1.2 Individual complaints and Inter- state complaints 10 2.1.3 General discussions 10 2.2 Treaty based mechanisms: Advocacy entry points for Helvetas 11
Ba	Ivetas Best Practice # 1
3	CHARTER BASED MECHANISMS
	Ivetas Best Practice # 2
	 3.2 Special Procedures

Helvetas Best Practice # 421	
Sri Lanka: Evidence as the key for advocacy	

4	THE OFFICE OF THE HIGH COMMISSIONER	
	FOR HUMAN RIGHTS2	23

Helvetas Best Practice # 5	.24
Laos: Working with the OHCHR	

5 CONCLUDING REMARKS24
References25
Glossary25
Annex 1: Comparative Chart of committees
Annex 2: Information on selected Special
Rapporteurs
Annex 3: Step-by-step approach: Advocating
for the right to water and sanitation32
Annex 4: Step-by-step approach: Advocating for the
enabling environment for civil society35
Annex 5: Step-by-step approach: Advocating for
migrant workers' rights at the UN41
Annex 6: Step-by-step approach: Advocating for
land rights at the UN45
Annex 7: Step-by-step approach: Advocating for
gender rights at the UN
Annex 8: Useful web-links
Annex 9: How to find official UN Documents
in the UN database system

INTRODUCTION

"HELVETAS Swiss Intercooperation systematically engages in policy processes by advocating for systemic change at all levels. As an organization that bases its actions on human rights, we and our partners are committed to securing the rights of poor and disadvantaged men and women." (HELVETAS Advocacy Concept, 2)

There are many ways to advocate on issues of concern and secure people's basic rights: Raising legal awareness of local farmers regarding land rights; facilitating an education roundtable with provincial authorities and civil society groups; signing an open letter to a national government regarding its budget spending behaviour, to name just a few. Together with local partners, HEL-VETAS has done a great deal of exciting advocacy in recent years on a broad range of issues, from local up to national level and often with considerable success. However, advocacy does not necessarily end at the national level. Sometimes, the doors of decision makers might stay shut, or political processes might intentionally get delayed by those in power. Where basic human rights get violated - including the right to water and sanitation, to education or to sufficient food - advocacy sometimes needs to go one step further and take things beyond the national level.

One possible way to do so is through the United Nations Human Rights System (UNHRS). The elaborate system offers several entry points for advocacy beyond national boundaries, through which national decision makers can be held to account. It allows to address sensitive issues without exposure, and to discuss them in a safe space. Even though the UN system might at times appear overly sophisticated and complex, it is actually accessible and ready-to-use for everyone, including local civil society. As a matter of fact, HEL-VETAS has gathered significant experience in recent years, when some of our programmes and projects in Nepal, Laos, Sri Lanka and Bangladesh engaged with the UNHRS to boost their national advocacy efforts – often with considerable success.

Based on these experiences, this paper explores the very basics of the UNHRS, explains its most relevant building blocks and shows how they can effectively be used for advocacy. Each building block gets illustrated with a specific HELVETAS experience, showing that advocating through the UN is less complicated but more effective than many of us would think.



1. UNDERSTANDING THE UNITED NATIONS HUMAN RIGHTS SYSTEM

The United Nations Human Rights System (UNHRS) consists of different human rights instruments and their enforceable mechanisms. There are two basic types of human rights instruments:

- A. Treaties, covenants and protocols: Legally binding and enforceable on the States that have ratified, accepted or acceded them. Examples of such legally binding documents are the International Covenant on Civil and Political Rights, the Optional Protocol to the International Covenant on Civil and Political Rights, the International Covenant on Economic, Social and Cultural Rights, the Optional Protocol to the Covenant on Economic, Social and Cultural Rights, the International Convention for the Protection of All Persons from Enforced Disappearance, the International Convention on the Elimination of all Forms of Racial Discrimination, the Convention on the Elimination of All Forms of Discrimination against Women, the Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women, the Convention on the Rights of the Child, the Optional protocol to the Convention on the Rights of the Child in the Involvement of Children in Armed Conflicts, the Optional protocol to the Convention on the Rights of the Child on the Sale of Children, Child Prostitution and Child Pornography, and others.
- B. Declarations, principles, plans of action and guidelines: Not legally binding, but still carry considerable political and legal weight. Examples include the Universal Declaration of Human Rights, the Vienna Declaration and Programme of Action, the United Nations Sustainable Development Goals, the Declaration on the Rights of Indigenous Peoples, the United Nations Principles for Older Persons, the United Nations Millennium Declaration, the Durban Declaration and Programme of Action, the Standard Minimum Rules for the Treatment of Prisoners (The Nelson Mandela Rules), the Principles relating to the Status of National Institutions (The Paris Principles), and others.

To monitor these instruments, there are **three different mechanisms.** They often run in parallel or overlap within the United Nations (UN):

- 1. Treaty based mechanisms
- 2. Charter based mechanisms
- 3. The Office of the High-Commissioner for Human Rights (OHCHR)

Figure 1 and 2 illustrate how these mechanisms are spread within the UN.



Figure 1: Selected UN human rights mechanisms (© HELVETAS Swiss Intercooperation)

Under the **treaty-based mechanisms**, there are committees that monitor the States' compliance with relevant treaty obligations through its processes such as periodic reviews, individual complaints and inter-state complaints. These committees can only monitor those States that have signed the relevant treaties (see chapter 2 for details).

Yet there are still many human rights instruments as well as situations that are left out of the scope of the treaty mechanisms. To deal with these, the UN established **charter-based mechanisms**. At the center of the charter-based mechanisms is the Human Rights Council (Council) which works through its mechanisms such as the Universal Periodic Review process (UPR process), special procedures, an advisory committee and other subsidiary bodies (see chapter 3 for details).

Apart from these, there is the Office of the High Commissioner for Human Rights (OHCHR) which provides technical and field support in close cooperation with other United Nations agencies and programmes and mandates, such as the UN Commission on Women, the United Nations High Commission for Refugees (UNHCR) and the United Nations Children's Fund (UNICEF) etc. (see chapter 4 for details).



2. TREATY BASED MECHANISMS

2.1 Treaty-based committees

What are they?

The committees under treaty-based mechanisms monitor States' compliance to the international treaties and their optional protocols¹. Whenever a State ratifies a treaty, it agrees to comply with all the treaty articles and to be monitored by the respective committee. At present, there are ten committees consisting of independent experts. Nine of them monitor each one treaty, while the tenth one – the sub-committee on the prevention of torture – monitors places of detention in States that are party to the Optional protocol to the Convention against Torture.

¹ See Annex 1 for details on the most relevant committees from a Helvetas perspective.

How are they formed?

Each committee usually consists of 10 to 25 members – independent experts in the area of human rights law. They are elected by the States parties who have ratified the treaties. Although they are nominated by their countries, once elected to the committee, they work independently. They do not represent their States or take instructions from them. They can only monitor those States that have ratified relevant treaties. So, if a State has not ratified a treaty, the committees can do nothing. They report to the General Assembly except for the Committee on the Economic Social and Cultural Rights (CESCR).

What do they do?

The committees have three main areas of work in order to monitor States' compliance with treaties. These are periodic reviews, individual complaints, and inter-state communications. Apart from these, committees also invite general discussions to explore specific themes related to the treaty they monitor.



Figure 2: The UN Human Rights Treaty System (© OHCHR 2005)

2.1.1 PERIODIC REVIEWS

Each State that has ratified a treaty has to report to the relevant committee every four to five years showing how it has complied with the treaty articles. However, if a State refuses to submit a report, there is very little a committee can do. The periodic reviews run as follows:

Step 1: Preparation of State party report

A State prepares and submits a report to the relevant committee, concerning and highlighting the implementation of the specific treaty provisions as well as related human rights issues of the treaty provisions in its jurisdiction. This can also include the difficulties it faces to implement the relevant treaty.

Step 2: List of issues

After the State party submits its report and before convening a session, the committee prepares a "list of issues and questions" through its special rapporteur / a pre-session working group for the State party's consideration. In this way, the committee can get additional information that was not included in the report or can raise questions on specific issues. The written responses of the State to this list of issues form an annex to the State party report. While the State is submitting its report with answers to the list of issues, the committee also invites inputs from the civil society on the implementation of treaty provisions by the State under review ('shadow reports').

Step 3: Discussions

The State party under review is invited to the relevant committee's session to present its report. The examination of the committee is based on the State's report, its answers to the list of issues as well as on civil society shadow reports. The aim of the session is to engage all stakeholders in a constructive dialogue in order to assist a State in its efforts to implement the relevant treaty.

Step 4: Concluding observations

After the examination of the State party report, the committee issues its "comments" (or "concluding observations" or "recommendations") which are the 'judgments' of the committee.

Step 5: Follow up

In order to assist States in their follow up to the committees' recommendations, many committees have begun to introduce various procedures. Some of them require States to follow up with a special rapporteur appointed by the committee, while others undertake country visits at the invitation of State parties.

2.1.2 INDIVIDUAL COMPLAINTS AND IN-TER-STATE COMPLAINTS

When a State is being monitored under periodic review, some of the committees allow individual complaints and inter-state complaints. The individual complaint mechanism under treaties enables an individual to approach relevant committees directly to complain against the government regarding his/her human rights violations under the relevant treaty. However, for this procedure to be effective, the State party must have 'opted' for the relevant treaty article that allows such procedure. In addition, all domestic remedies must be exhausted. At present eight of the main human rights treaties² allow for individual complaints. The inter-state complaint mechanism enables a committee to address gross and systematic human rights violations by a State against another State.

² HRC, CEDAW, CAT, CERD, CRPD, CED, CESCR, CRC

2.1.3 GENERAL DISCUSSIONS

Some committees offer civil society groups additional opportunities for advocacy by holding a general discussion day in Geneva. CSOs are then invited to make written submissions on particular topics and to attend the discussion in person. These general discussions help the committee to develop, in greater depth, its understanding of relevant issues and lay the basis for a future general comment.

Sustainable Development Goals and the treaty-based mechanisms

Although the committees monitor only the implementation of their relevant treaties, there are instances connecting their work on monitoring SDGs. Since all of the 17 SDGs also have a link to various human rights treaties, the committees automatically monitor SDG progresses under periodic review. In this regard, committees can raise specific, SDG-related questions prior to the review and during a constructive dialogue with the States. Many times, committees do make recommendations in their concluding observations that link implementation of particular treaty provisions with the SDGs and their specific targets.

2.2 Treaty-based mechanisms: Advocacy entry points for Helvetas

Entry points	Actions
Before the State is up for a review	 Identify human rights issues relevant under specific treaties and do research on your government's position on it. Some countries invite CSOs to help them prepare a State party report. This can be a great opportunity for giving inputs from a field perspective. Check if any of the members heading the committee happen to be from the country where you have presence. In this case, you can get in touch with the committee member and if asked, help with concrete, evidence-based inputs from the field.
Before the list of issues is considered	• Identify the key human rights issues, including progress on relevant SDG goals under the relevant treaty that warrant the special attention and inform the special rapporteur/working group of the committee (not to confuse with the special rapporteur under thematic mandates).
Pre-session	 If allowed, write to the committee to express interest in participating in the pre-session working group or make an oral intervention. Form alliances with like-minded CSOs and/or research institutions, write and submit a shadow report on the human rights issues concerning the relevant treaty, and submit it to the committee.
During the session	• If possible, attend the session of the committee in person; if allowed make an oral presenta- tion on the main findings of the shadow report.
After the session	• Help States to conduct activities implementing recommendations and monitor and document the implementation by the State.
Specific advocacy points	• Keep yourself updated about the committees' news on their websites and consider partici- pation in the general discussions day of the respective committee.

Why approach committees under treaty mechanisms?

- Treaties are the voluntary commitments of States towards the implementation of international human rights within their national laws. Therefore, the main tasks of committees are based on engaging States and other stakeholders through a constructive dialogue rather than 'naming and shaming' them.
- Treaty committees consist of individual experts from the field, who have in-depth knowledge of the human rights issues. Therefore, their recommendations come as precise and targeted advice on specific issues. The comments of the committees are treated as jurisprudence within the international human rights laws. Right to water for example is carved through the comment of CESCR.
- Although each committee has different rules of engagement with CSOs, they still provide ample

opportunities for CSOs to engage. Since shadow reports can range from a one-pager to extensive testimonials, it is easier to raise very specific issues and concerns with the committees.

- Helvetas follows the implementation of the SDGs through its human rights-based approach. Committees under treaty mechanisms provide an avenue for engaging States on SDG implementation in a constructive manner. This can be done by highlighting links between the SDGs and the relevant treaty provisions, while producing the shadow report and the list of issues.
- Recommendations of a committee can be used as an effective advocacy tool at country level. In principle, a State cannot deny technical and field support from CSOs if it is directed towards fulfilling recommendations of the committee.

HELVETAS BEST PRACTICE #1

Bangladesh: civil society shadow report on migrant workers' rights

Nayela Akter, Programme Officer Gender, Social Equity, Migration and Governance; Coordinator, SDC Partners' Gender and Governance Platform; HELVETAS Swiss Intercooperation Bangladesh

What was your motivation to engage with the UN mechanism?

Migration is a complex phenomenon connecting countries and institutions from local to global level. Hence, violations of migrant workers' rights must be addressed not only in destination countries, but also at global level. CSOs must constantly engage with policy makers at all levels, create pressure and hold them accountable for protecting the rights of migrant workers and their families. The Convention on the Rights of All Migrant Workers and Members of Their Families (CMW) is the key instrument to hold countries of origin and of destination accountable. All CMW signatories are bound to report to the UN Committee, a process to which CSOs can contribute through alternative reports on issues of concern and through specific questions and recommendations to the committee. Besides the CMW there are other instruments like the Convention on the Elimination of Discrimination against Women (CEDAW) and the Universal Periodic Review (UPR) through which the rights of women migrant workers can be addressed. All UN member states are bound to report on CEDAW and UPR, so CSOs should use these instruments to report on migrant workers' rights. I learned about these instruments in a Diplomacy Training Programme (DTP), which inspired me to engage in the reporting process.

How did you do it?

The DTP familiarized the participating Bangladeshi CSOs with various instruments for advocacy. One instrument was the alternative report on the CMW. Incidentally, Bangladesh was about to submit its initial report at that time. So the CSOs in the training decided to grab the opportunity and prepare a joint shadow report, with support and guidance by DTP and the Migrant Forum Asia (MFA). In small groups, we first identified and explored key issues for migrant workers and their families, eventually formulating key questions and recommendations, and further refined them with all participants. A smaller working group then drafted the report, facilitated several rounds of feedback with course participants, and ensured expert feedback from DTP, UN experts and academics. Another workshop was held to get a broader feedback from other CSOs, lawyers, and journalists. Further inputs came from the wider CSOs community of Bangladesh working on migrants' rights, as well as from destination countries. A significant part of this sharing and awareness building process was funded by the Swiss Agency for Development and Cooperation (SDC). Eventually, the final shadow report submitted to the UN reflected the views of 27 CSOs from Bangladesh!

What was the role of HELVETAS? Driving force or facilitator?

I participated in the DTP training on behalf of HELVETAS and coordinated the preparation of the shadow report. I was then one of two persons selected by all CSOs to briefly present the shadow report at the hearing of the 26th session of the UN Committee on Migrant Workers. DTP introduced us to all international human rights instruments and processes that CSOs can use for advocacy on migrants' rights.

What was the outcome of your efforts?

Our success was that the UN Committee took the shadow report and the statements of the CSOs into account during the hearing of the State party, and also made use of the CSO recommendations in its concluding observations³. For example, it recommended the State party to:

- (18) establish a centralized and comprehensive database covering all aspects of the Convention and collect data on the status of migrant workers (...) disaggregated by sex, age, nationality, reason for entry and departure from the country, and the type of work performed in order to effectively impact relevant policies;
- (20) provide adequate financial and human resources to the Commission to enable it to effectively discharge its mandate, including dealing with complaints from migrant workers;
- (24a) consider more proactive ways of systematically involving civil society and non-governmental organizations in the implementation of the Convention, including the countries of employment of Bangladeshi migrant workers and in negotiating and monitoring bilateral agreements;
- (24b) adopt the proposed Expatriates Welfare Board Act ensuring that representatives of migrant workers' associations are included in the Board, as well as the Board of the Migrant Welfare Bank.

How did you follow it up?

After our return to Bangladesh, we reported back to the involved CSOs on the UN Committee's recommendations and concluding remarks. The CSO community working on migration in Bangladesh is very vibrant, but it has no unified CSO platform. This is why the key CSOs working on migration decided to form a local coordination committee called Global Forum on Migration and Development 2016 (GFMD). HELVETAS supports this coordination committee in its efforts to jointly monitor the implementation of the UN Committee's recommendations.

What did you learn from this experience?

HELVETAS Bangladesh started to work on migration by developing a basic understanding of the human rights of migrant workers, and of the instruments and processes that CSOs can use to advocate for these rights. By doing so, we built relationships with other CSOs and eventually could engage with a broad range of stakeholders. We believe that a unified civil society voice for a common goal is the most effective way to advocate. Therefore, HELVETAS will continue to strengthen respective networks.

³ See, recommendations No. 18, 20, 24 and 48 of the Concluding observations on the initial report of Bangladesh by the CMW, 22 may 2017, document No. CMW/C/BGD/CO/1



3. CHARTER BASED MECHANISMS

Charter based mechanisms are those that derive their power from the UN Charter and are spread across different bodies and levels within the UN. At the center of these mechanisms is the Human Rights Council (Council) which monitors human rights practices of all UN Member States regardless of them having signed a particular treaty or not.

3.1 The Human Rights Council

The council was established by the General Assembly (GA) Resolution 60/251 on 15 March 2006. It consists of 47 member States, which are elected by the majority of members of the GA of the UN through direct and secret ballot. The composition of members is based on equitable geographical distribution⁴. The elected members of the council are representatives of their respective governments. It is an inter-governmental body of the UN and therefore also a political platform to address human rights issues around the world. The council meets for at least 10 weeks per year at the UN Office in Geneva, Switzerland, in regular sessions usually taking place in March, June and September. It reports to the GA.

How does the council work?

The main work of the council is to review the status of human rights in countries around the world, to address human rights violations and to make recommendations for improving the fulfilment of human rights obligations. The council's main mechanisms are the UPR process, special procedures and other subsidiary bodies and forums.

⁴ Africa 13 seats; Asia-Pacific 13; Latin America and Caribbean 8; Western Europe and others 7; Eastern Europe 6

3.1.1 UNIVERSAL PERIODIC REVIEW PRO-CESS (UPR)

Under the UPR process, the council reviews the human rights records of all the 193 UN member States once every four and a half years. The review is based on the States' obligations under:

- 1. the Charter of the United Nations;
- 2. the Universal Declaration of Human Rights;
- human rights instruments to which the State is party (human rights treaties ratified by the concerned State);
- voluntary pledges and commitments made by the State (including those undertaken when presenting the candidature for election to the Human Rights Council);
- 5. applicable international humanitarian law.

The UPR process gives States the opportunity to declare what actions they have taken to improve the human rights situation and respective obligations in their countries under the abovementioned instruments, and allows them to describe related challenges and constraints.

UPR Process

UPR Reviews are conducted by the UPR working group, consisting of the President of the council and its 47 member States. A group of three rapporteurs ('troika'), selected by the drawing of lots among the members of the council and from different regional groups facilitates each review, including the preparation of the report of the working group. They are assisted by the OHCHR. The process follows five steps:

Stage 1: Submission of reports

When a State is up for a review, the process begins with the submission of three documents:

- national report (information provided by the State under Review (SuR);
- compiled information on the SuR by OHCHR through all its bodies – treaty committees, special rapporteurs under thematic mandates, specialized agencies such as UNICEF, UNDP, and others (usually 10 pages);
- 3. stakeholders report prepared by CSOs and National Human Rights Institutions (NHRI).

Stage 2: Interactive dialogue through working group session

Each review starts with the presentation by the SuR of its national report and its responses to the advanced questions. Following this, an interactive dialogue takes place during which States take the floor to ask questions and make recommendations on the human rights situation to the SuR. Any of the 192 UN member States may participate in the reviews. They can pose questions and comments and can make recommendations to the SuR. Other relevant stakeholders, such as CSOs, national institutions and UN agencies, can attend the session but cannot take the floor.

Stage 3: Preparation of the report

Following the review by the working group, a report is prepared by the troika with the involvement of the SuR and assistance from OHCHR.

Stage 4: Adoption of the working group report by the council

The working group report gets adopted twice. First during the working group session, which usually takes place a few days after the review; second by the council at a plenary session, usually a few months later. At this stage, the SuR has to reply to those issues and questions that were not sufficiently addressed. Other States can take the floor and express their opinions, and CSOs are also allowed to make general comments on the review.

Stage 5: Outcome of the review

The outcome of the review is a report consisting of a summary of the proceedings of the review process, the conclusions and/or recommendations, and the voluntary commitments of the SuR. The recommendations are suggestions to the SuR to improve the human rights situations in the country. The SuR can accept or note recommendations but cannot reject them.

The outcome of the review is a cooperative mechanism and as per the HRC resolution A/HRC/RES/5/1, and should be implemented by the SuR as well as by other stakeholders. It also makes provisions to involve the international community in assisting the concerned SuR regarding capacity building and technical assistance. Any subsequent review then first focuses on the implementation of the previous outcome. States who fail to cooperate with the UPR process or to follow up on specific recommendations can be flagged as "persistent non-cooperative with the mechanism" by the council.

3.1.2 UPR PROCESS: ADVOCACY ENTRY POINTS FOR HELVETAS

Entry points	Actions
Before the State is up for a review	 Find out about the cycle and timetable for the respective State's UPR; review previous reports and recommendations. Identify gaps between the State's international commitments and their national implementation and document them in a systematic manner. Identify key human rights issues that require specific attention. Identify local partners and organize workshops to familiarize them with the UPR process, if necessary. Initiate new or use existing CSOs alliances and contribute towards a stakeholders' report. In contexts that are especially sensitive to HR reporting, forming alliances outside the country can be an option. Contact special rapporteurs or other UN agencies to raise specific issues on behalf of civil society. Lobby with foreign diplomats and permanent missions to raise human rights issues prioritized by civil society during the interactive dialogue. Support the UPR process through lobbying with the permanent missions of foreign governments in Geneva.
During the review	 Attend and observe the proceedings of the working group (only with ECOSOC status). Make an <u>oral presentation</u> when the review is being adopted by the council (only with ECOSOC status). Observe proceedings from the public gallery (open for all but limited availability).
Follow up after review	• Provide support and technical expertise to the SuR to fulfil the recommendations made by the council. If the SuR denies such involvement, highlight it in the next UPR review.

Sustainable Development Goals and the UPR process

There are similarities in the ways of working between the council and the High-Level Political Forum that monitors the SDGs (HLPF). Since all SDGs are explicitly linked to the international human rights instruments⁵, there are references and direct linkages between the two within the work of the council and OHCHR⁶. For example, the council through its UPR process provides a comprehensive source of information to the HLPF by putting together the national report, the OHCHR report and the stakeholders report. Similarly, recommendations made under the UPR process also refer to the attainment of the SDGs. These are then also made available to the HLPF by the council through its Universal Human Rights Index Database⁷.

⁵ https://www.ohchr.org/Documents/Issues/MDGs/Post2015/SDG_HR_ Table.pdf and https://sdg.humanrights.dk/

6 A/HRC/RES/315/5 and A/RES/70/1

⁷ https://uhri.ohchr.org/en/

Why use the UPR process?

The council under its UPR process monitors a wide range of human rights instruments within its purview. This means that almost all human rights can be addressed under the UPR process.

SDG progress and monitoring can also be addressed under the UPR process as there is an explicit link between the council and the HLPF.

The possibility to write a joint stakeholders report provides a safety net to human rights activists. It allows them to raise their concerns at international level without having to confront their government directly.

Recommendations issued under the UPR process can be used as a powerful point of reference for advocacy at all levels.

The UPR process requires that SuR get technical assistance to fulfil their obligations. This can open new opportunities for constructive engagement with national governments.

HELVETAS BEST PRACTICE # 2

Laos: using the UPR Process

The Lao PDR underwent its last <u>UPR</u> in January 2015. It was the first time that civil society in Laos actively participated in the process and contributed to the reporting mechanism in a coordinated and deliberate manner. For a variety of reasons this was not possible and not done before. One reason was a lack of knowledge and capacity to engage. In order to address these gaps, Helvetas Laos facilitated the following process in coordination with OHCHR, other UN entities, embassies and donor agencies, as well as with relevant national and international civil society organization networks.

First and foremost, stakeholders had to familiarize with the institutional framework, including:

a) **the national legal framework**, and existing human rights-related policies, commitments and mechanisms in Laos (constitution, as well as laws protecting workers, women, children, people with disability, and indigenous/ethnic groups);

b) other human rights processes which may exist in the country beyond UN mechanisms e.g the EU Human Rights dialogue with third countries;

c) regional <u>ASEAN human rights mechanisms</u> and the international human rights UPR mechanism. Clearly delineating the interlinkage between the national in country, regional and international processes is important to maximize potential for broader strategy development for and beyond the UPR process, understanding that the UPR is part of a larger human rights framework which is cross-sectoral.

Various materials, guidelines and policies had first to be translated into Lao language. To do so, agencies implementing relevant programmes were approached to find existing translations, and/or to secure funding and other support (e.g. legal knowledge) for translation work.

The next step was to orient and/or train identified civil society representatives and lawyers. Obviously, such capacity building has benefits beyond the ongoing UPR process, as it improves people's general abilities to fulfill their rights and obligations as mandated under the Lao constitution and relevant policies and decrees. Where to conduct such trainings – in the country or abroad – was an important question, too. Eventually we did both, depending on the content of the training and agreements with OHCHR in particular. Additional lessons learned and good practices from that first formal experience include:

- do a proper stakeholder and risk analysis;
- identify local and international allies who might support certain reporting aspects which are limited inside the country;
- as OHCHR is not physically present in Laos, we maintained regular formal and informal exchange with various UN branches, in the frame of UN specific programs, events and processes, but also within the broader aid framework such as the formal sector working groups and annual round table meetings between government, donors and development organizations. They all provide important opportunities for coordinating on specific issues, facilitating dialogue, and identifying allies;
- review and identify related entry points from previous UPR procedures and cross reference with other related reporting mechanism results e.g. CEDAW reporting;
- regroup like-minded CSOs and organize either an introductory orientation or a refresher on the UPR procedure, jointly review the stakeholder and risk analysis, based on results, delegate roles and responsibilities in the frame of a common work plan;
- UPR reporting is organized around different human rights themes or topics e.g. women, children, people with disability, legal framework etc. It is important to delegate reporting among stakeholders according to their area of experience and expertise;
- another good practice was to establish a so called 'buddy system': A local organization receives technical backstopping from a relevant international organization. This helps to complement, complete and translate data and content into the reporting language and format. In countries with many civil society organizations, thematic working groups may be a more appropriate option;
- this is a time-consuming process, so allow enough time for orientation, desk review, data collection, drafting, joint draft review, and coordination with relevant organizations and institutions prior to submission;
- after submission, reach out to relevant stakeholders, including embassies. If your CSO partners agree, share the report informally with relevant representatives, especially with the embassies of those countries who participate in the UPR. Check the last UPR to find out which countries provided recommendations that align with your own priority issues.

3.2 Special Procedures

3.2.1 MAIN ELEMENTS

The Special Procedures (SP) of the council are independent human rights experts with specific mandates to monitor human rights from a thematic or country perspective. This can be either one person in the function of a special rapporteur (independent expert) or a working group of five members. As of 1 August 2017, there are 44 thematic and 12 country mandates⁸.

Main features

The SP are appointed by specific mandates, mainly by the council and sometimes also by the GA or the Security council. There is a procedure laid down in resolutions 5/1 and 16/21 of the council regarding their appointments. They are independent experts and serve in their personal capacity. They are not paid by the UN but do receive personnel, logistical and research support from the OHCHR. They report mainly to the council, but some of them are also required to report either to the GA or the Security council.

How they work

The thematic SP are mandated to investigate the situation of human rights under their theme in all parts of the world. This requires them to take the necessary measures to monitor and respond quickly to allegations of human rights violations against individuals or groups, either globally or in a specific country or territory, and to report on their activities. In the case of country mandates, the SP are called upon to take full account of all human rights (civil, cultural, economic, political and social) in a given country, unless directed otherwise.

 $^{\rm 8}\,$ See Annex 2 for detailed information on Special Rapporteurs relevant for Helvetas

Special Rapporteurs

The special rapporteurs having specific mandates, employ any of the following methods to fulfill their mandate:

- 1. country visits;
- communications: act on individual cases of alleged violations and concerns of a broader, structural nature by sending communications to States;
- conduct thematic studies and convene expert consultations, contributing to the development of international human rights standards;
- 4. engage in advocacy and raise public awareness;
- 5. provide advice for technical cooperation.

Country visits

One of the main methods of special rapporteurs is to carry out country visits to obtain direct and firsthand information on human rights issues regarding their mandates. To undertake country visits, a special rapporteur is required to take formal permission from the respective government. If permission is granted, a rapporteur usually announces his/her schedule in advance so that all relevant stakeholders can prepare themselves for consultations. During a visit, the special rapporteur meets with governments officials and institutions, members of the judiciary, CSOs, victims and their communities, as well as with relevant experts in the country. This allows her/him to hear all 'sides of a story' and to gather first-hand information for country reporting. At the end of the visit, the special rapporteur usually holds a press conference and issues preliminary findings. The findings of the country visit are reported in the annual report to the council. Country reports of special rapporteurs are also often used for other mechanisms of the UN.

Communications

Through the office of OHCHR, any individual or CSO can send individual complaints to a special rapporteur regarding human rights violations that come within the purview of the special rapporteur's specific mandate. When the complaint is termed as valid in terms of procedures, the special rapporteur can issue either an urgent appeal or an allegation letter to the relevant government and demand its attention. An **urgent appeal** is the fastest moving mechanism under all UN mechanisms in the case of imminent danger or a life-threatening situation to the victim. By contrast, **allegation letters** address human rights violations that have already occurred within a country and that are not urgent appeals. The individual complaint mechanism under the SP does not

require victims to exhaust all domestic remedies. While the names of alleged victims are usually included in the communication sent to the State (so that competent authorities can investigate), the identity of the source of information is always kept confidential and is neither included in the communication sent to the government, nor in the public communications report.⁹

⁹ For example, on 20 December 2012, the three Special Rapporteurs – Special Rapporteur on the promotion and protection of the right to freedom of opinion and expression; Special Rapporteur on the rights to freedom of peaceful assembly and of association; and Special Rapporteur on the situation of human rights defenders raised the issue of the expulsion of the former Country Director of Helvetas Swiss Intercooperation, to the Government of Laos asking the legal basis for expulsion especially under the treaty articles of ICCPR (Reference Documents, communications from SPAL G/SO 214 (67–17), for more details, refer the main report A/HRC/25/55/Add.3, para 250–255)

Other activities of special rapporteurs

At the request of the council, special rapporteurs also prepare thematic studies and participate in expert consultations, seminars and conferences. They can also pay 'working visits' to a country, i.e. in-country missions that are not fact-finding but a mix between technical assistance, mediation and the development of best practices. These working visits allow to raise public awareness about specific human rights situations and phenomena attesting threats to and violations of human rights through public statements and interaction with a wide variety of partners¹⁰.

¹⁰ https://www.ohchr.org/EN/HRBodies/SP/Pages/Introduction.aspx

3.2.2 SPECIAL PROCEDURES: ADVOCACY ENTRY POINTS FOR HELVETAS

Entry points	Actions
Before the country visit	• Provide support to the special rapporteurs of mandates that are relevant for Helvetas; facilitate field visit if possible.
Anytime	• Participate in expert consultations, seminars, conferences and technical assistance on mandates relevant for Helvetas.
Anytime	Feed information for thematic studies.
Anytime	• Host events and training programmes towards the development of standard-setting, and to work towards building the capacity of other civil society actors to use and engage with the special procedures (e.g. invite for a workshop or roundtable).

Why engage with special procedures?

- Special Rapporteurs can be approached even though a particular State has not ratified a relevant treaty.
- Individual cases can be taken to a special rapporteur even when domestic remedies are not yet exhausted.
- They can respond quickly to alleged or potential human rights violations, whilst other mechanisms are used only when they are in session.
- They coordinate constantly with other UN mechanisms such as committees under treaty bodies, the

UPR process and the council, and the GA (if their mandate allows), with OHCHR and other specialized UN agencies. Engaging with the SP therefore serves as an indirect way of approaching all other UN mechanisms.

 Out of all UN mechanisms, special procedures give the maximum opportunity of engagement at all levels. Even though this procedure has its limitations, it is one of the most vital UN mechanisms that CSOs can use for their advocacy.

HELVETAS BEST PRACTICE # 3

Nepal: Successful advocacy is not only about what you advocate but also who you get to advocate. Working with the UN Special Rapporteur on Water and Sanitation

Yogesh Pant, Component Manager Governance and Advocacy, Integrated Water Resources Management Programme, Helvetas Nepal

What was your motivation to engage with the UN mechanism?

Towards the end of 2013, we started the implementation of the Water Integrity Programme funded by the Swiss Agency for Development and Cooperation (SDC). At that time, advocating on better integrity, transparency, accountability and participation was a real challenge. This is why we were looking for someone renowned in the sector to help us advocating for and realizing the human right to water and sanitation around this specific program.

How did you do it?

At that time, we had no idea about the Special Rapporteur on the Human Rights to Water and Sanitation. It was the team leader of our Governance and Peace team in Switzerland who put us in touch with Ms. Catarina de Albuquerque, who was the Special Rapporteur at that time. In 2014, Ms. Albuquerque was visiting Asia for other purposes and Helvetas Switzerland could convince her to visit us in Nepal. So she visited Helvetas Nepal on January 22-23, 2014. It was not an official country visit. On the first day, she visited our Nepal head office. We introduced her to our programmes, activities and achievements regarding water, sanitation and hygiene. She then wanted to pay a courtesy visit to the Nepal Department of Supply and Sewerage. Officially, this would not have been possible without having official channels involved. However, since Helvetas Nepal cooperates closely with this specific Department, the officials eventually agreed to meet her in an unofficial manner. So, she met with the Department's "Sector efficiency improvement" unit and updated them on the international progress regarding the realization of the human rights to water and sanitation. It was a really constructive dialogue. The Government also updated her about their cooperation with Helvetas around water and sanitation.

On the second day, we organised a workshop on "Transparency, accountability and participation in WASH for the realisation of the human rights to water and sanitation". There were 30 participants representing CSOs (Nepal offices), SDC, Wateraid, PLAN, SNV, JICA, CARE, World Bank, Asian Development Bank as well as many local CSOs working in the WASH sector. The Special Rapporteur delivered a keynote on the importance of the human rights to water and sanitation in general and of transparency, accountability and participation in particular. She advocated for the work of Helvetas in the Water Integrity Programme, highlighting that our interventions in drinking water and sanitation are very important towards the realization of the human rights to water and sanitation. In her speech, she also emphasized the Water Use Master Plan – pioneered by Helvetas, suggesting that other CSOs use it in their programmes for a better realization of the human right to water. Workshop participants then discussed various water integrity issues in Nepal. They shared their experiences of working in the WASH sector in Nepal, and brainstormed on how to address integrity issues. The Special Rapporteur responded to participants' queries regarding specific interventions for addressing integrity issues and promoting transparency, accountability and participation practices.

What was the role of Helvetas?

Basically, Helvetas Nepal needed a renowned expert to advocate on these issues, and Helvetas Switzerland facilitated our engagement with the Special Rapporteur.

What was the outcome of your efforts?

The main outcome of the visit was that the people concerned started to look at WASH issues through an integrity lens! Helvetas Nepal continued to work with different agencies as well as with the Government department and launched programmes advocating for improved integrity in the WASH sector. The visit of the Special Rapporteur and her advocacy efforts towards government officials and CSOs in Nepal gave us more visibility. Her clarity on the human rights to water and sanitation was important, as it helped other CSOs understand the importance of integrating a human rights-based approach on the right to water – something Helvetas already does in its country programme.

How did you follow it up?

As Ms. de Albuquerque's visit was not an official country visit, our engagement with her was limited to her unofficial visit and the workshop with the concerned stakeholders.

What did you learn from this experience?

In the beginning, we had no idea about the mandate of the Special Rapporteur. We did not know that UN mechanisms could also be used for our advocacy purposes. Hence, we were very happy when Helvetas Switzerland organised the visit of the Special Rapporteur, as our country office lacks the means and channels to do so. In my opinion, we should continue to engage with Special Rapporteurs and other persons in similar capacities and profiles in our advocacy programmes if possible. I would wish that the Special Rapporteur comes on an official country visit – this would allow us to coordinate and contribute better and on a more extensive level.

• The official report of the Special Rapporteur on Water and Sanitation mentions the Helvetas Water Resources Master Plan as good practice (p113/2). The same report also cites Helvetas Vietnam initiatives (p138/1).

HELVETAS BEST PRACTICE # 4

Sri Lanka: evidence as the key for advocacy

Katrin Rosenberg, former Project Manager, Labour Migrant Project, Helvetas Sri Lanka

What was your motivation to engage with the UN mechanism?

We engaged with the UN mechanism in two instances. The first time was in 2013, when we engaged with the Special Rapporteur on the Human Rights of Migrants (SR Migrants) during his official country visit to Sri Lanka. ILO Sri Lanka invited us to an event where Sri Lankan CSOs could interact with the Special Rapporteur and provide their inputs on migrant workers issues. The second time was in 2016, when we submitted a shadow report to the UN Committee on the Protection of the Rights of All Migrant Workers and Members of Their Families. In 2014 and 2015, we attended the Diplomacy Training Programme in Sri Lanka. At the training, we took part in stimulating exercises such as conducting a live case study and writing a shadow report. This served as an excellent prepared the actual shadow report.

How did you do it?

During our interaction with the Special Rapporteur, we shared our findings and concerns on migrant workers issues such as lack of training of involved officials, unaccounted network of middlemen, limited access to justice and limited decentralisation of the conciliation process. We were one of the many CSOs he met during his visit. Our submission to him was individual and not part of a joint initiative.

Regarding the committee on the rights of migrant workers, it was foreseen to write the shadow report during the Diplomacy Training period. Unfortunately, the committee's session was postponed, and getting other CSOs to write a joint shadow report after a considerable lapse of time was quite a challenge. Although everyone agreed on the report's main objectives, there were divergent views on how to address specific issues. We also faced challenges with regard to evidence-based issues. Some organizations including Helvetas had documented cases on migrant workers' rights, but many CSOs lacked the evidence to support their issues. Hence, some statements in the shadow report could be supported by evidence, others not. Drafting an official document was another challenge for many local CSOs, so Helvetas took the lead in this regard. Before submitting the report to the committee, we got in touch with Sri Lankan government officials to inform them about the submission. We could convince them that submitting a shadow report was a normal procedure under the UN treaty body mechanisms and it was in no way intended to name and shame them. This engagement with Sri Lankan officials was crucial and very constructive: It helped them to be aware of the issues we were raising and to prepare their own response at the committee's session.

What was the role of Helvetas? Was it a driving force or facilitator?

In 2013, our interaction with the SR Migrants was limited to giving inputs. With respect to the committee on the rights of migrant workers, Helvetas Sri Lanka with the support of the Senior Migration and Development Advisor in Switzerland was the driving force. We took the initiative, coordinated with local CSOs and drafted the shadow report. Helvetas Sri Lanka was officially mentioned in the report.

What was the outcome of your efforts?

After his visit in 2013, the Special Rapporteur included some of the issues we raised in his country report findings on Sri Lanka. The committee on the rights of migrants gave recommendations on the issues raised in our shadow report. Helvetas Sri Lanka had already been working on the same issues for a few years yet having them as official recommendations of the committee validated our work and helped us to encourage other CSOs and the Government of Sri Lanka to support our initiatives.

How did you follow it up?

Helvetas Sri Lanka uses recommendations of the committee in its policy dialogue. For example in connection with the Sri Lankan migration law, which is currently under review: Helvetas has commissioned a study to highlight major issues so that they can be addressed in the revised law. Helvetas Sri Lanka is also continuing with its programmes – which were also part of the committee's recommendations – such as conducting training of government officials on migrant issues and advocating for regulations on the role of sub-agents in Sri Lanka.

What did you learn from this experience?

Facilitating continuous engagement with and networking among CSOs is important. If cooperation among CSOs is limited to a few instances, then it is very difficult to work on joint initiatives such as writing a shadow report. A constructive engagement with the government is also crucial – especially in countries where the environment for civil society is not so conducive. Share information, be transparent, inform in a timely and open manner.



4 THE OFFICE OF THE HIGH COMMISSIONER FOR HUMAN RIGHTS

OHCHR is part of the Secretariat of the UN and derives its mandate and method of working from the GA resolution <u>48/141</u>. As the global authority on human rights, it is responsible for leading the United Nations human rights programme and for promoting and protecting all human rights established under the charter of the United Nations and international human rights law. It has it's headquarter in Geneva and another main office in New York. It comprises more than 900 staff members all over the world through its regional offices, country teams and peace missions.

How it works

The main aim of the OHCHR is to mainstream human rights standards throughout all UN programmes, to interlink various human rights bodies as well as to provide field and technical support to the human rights mechanisms and mandate holders. It does so through addressing human rights issues under the thematic framework, providing standard-setting, monitoring, implementing human rights norms in the field as well as engaging in human rights education. To achieve this, it collaborates with governments, parliaments, judicial authorities, regional mechanisms, CSOs, NHRI and UN partners. The main working areas of OHCHR are as follows:

- identifying and targeting gaps in the human rights system and providing research/studies for further actions;
- contributing towards the development of new international norms on human rights protection;
- assisting governments to incorporate international human rights standards into national laws, and advising on the establishment and functioning of independent National Human Rights Institutions (NHRI);
- working with and providing human rights training to judiciaries, military police and parliaments on international standards related to their work;
- providing advice on treaty body and UPR reporting, and developing programmes for human rights education.

How to use OHCHR to further advocacy objectives with UN mechanisms

The OHCHR offices are the first contact for approaching UN mechanisms. For its field work, it constantly relies on contributions from local and international CSOs and therefore encourages them to engage as follows:

- alert OHCHR to deteriorating human rights situations and emerging trends;
- provide information to OHCHR on human rights situations, developments and alleged abuses, locally and nationally;
- work in partnership with OHCHR on human rights seminars and workshops, human rights training programmes, and on national and regional projects to raise awareness of human rights;
- work with OHCHR to promote the ratification of human rights treaties and their implementation;
- coordinate with special procedures through the office of OHCHR.

Some of the specialized UN agencies such UN-Habitat, WHO, UNESCO, UNCT, UNHCR, UNICEF, ILO, UNDP, FAO also contribute towards the UPR process (country reporting) and therefore coordinating and advocating with them on human rights issues gives another avenue to approach UN mechanisms (UPR process) within the country programmes.

HELVETAS BEST PRACTICE # 5

Laos: Working with the OHCHR

Helvetas Laos established a regular dialogue with the nearest OHCHR office based in Bangkok, Thailand. Over a period of several months, both sides facilitated an informal exchange and incremental partnership building process, and eventually established a **mutual understanding and agreement** on a number of key issues:

- Areas of mutual interest
- Information gaps
- Challenges, opportunities and risks as well ways for addressing each of these
- Formal and informal ways of cooperation based on respective mandates, administrative allowances, resources etc.
- Agreeing on with whom, ways and degree of coordination and information sharing with other actors in and outside of the country
- Ways and options for 'acceptable' official and unofficial documentation and reporting taking into account different capacities, UN requirements, and working context
- Translation of OHCHR specific guidelines according to Lao requirements
- · Orientation and training, including follow up 'on demand'
- Safeguards: what each should and can do; what OHCHR can and cannot guarantee or do; including training options

5 CONCLUDING REMARKS

The UN human rights system is hardly ever the first method of choice for development NGOs advocating on issues of concern – and rightly so. In fact, many contexts offer numerous other ways for addressing human rights issues and for seeking a meaningful dialogue with state authorities. Effective advocacy often starts locally, building momentum to work its way up to the national policy level. However, where governments ignore local voices and concerns and continue to violate basic human rights, a gentle but distinct reminder of their international commitments often helps. This is where the UN human rights system comes in. It offers numerous ways to address specific human rights issues in a targeted manner and provides a safe space to those who cannot afford to speak openly. This paper shows how civil society actors can best use these avenues and how a targeted and coordinated approach can yield concrete advocacy outcomes. Thus, it hopefully encourages more international, national and local civil society actors to jointly engage with the UN system in order to ensure and strengthen human rights for everyone. Advocacy works!

References

- Office of the High Commissioner of Human Rights at <u>https://www.ohchr.org/EN/pages/home.aspx</u>
- The United Nations Human Rights Treaty System fact sheet no. 30/Rev. 1 at <u>https://www.ohchr.org/</u> <u>Documents/Publications/FactSheet30Rev1.pdf</u>
- GA resolution on the establishment of Human Rights
 Council at <u>https://www2.ohchr.org/english/bodies/</u>
 hrcouncil/docs/A.RES.60.251_En.pdf
- Human Rights Council Resolution 5/1 on institution-building of the United Nations, document no. A/HRC/RES/5/1
- GA resolution on the appointment and mandate of OHCHR at <u>https://www.un.org/ga/search/view_</u> doc.asp?symbol=A/RES/48/141
- Working with the United Nations Human Rights Programme – A handbook for civil society at https://www.ohchr.org/EN/AboutUs/CivilSociety/Documents/Handbook_en.pdf
- Manual of Operations of the Special Procedures of the Human Rights Council at <u>https://www.ohchr.</u> org/Documents/HRBodies/SP/Manual_Operations2008.pdf
- UPR info at https://www.upr-info.org/en
- The Advocates for Human Rights, "Human rights tools for a changing world – A step by step guide to human rights fact-finding, documentation and advocacy", January 2015 at <u>http://www.theadvocatesforhumanrights.org/change</u>

CREDITS

EDITOR HELVETAS Swiss Intercooperation info@helvetas.org, www. helvetas.org

Zurich updated version 2021

AUTHORS Archita Faustmann Bernd Steimann

COVER

Assembly hall of the United Nations Human Rights Council (UNHRC) at the European headquarters of the United Nations in Geneva, Switzerland: Founded in 2006, the UNHRC addresses human rights-related situations in all UN member states (Keystone / Salvatore Di Nolfi)

Glossary

ASEAN	Association of Southeast Asian Nations			
-				
CAT	Committee Against Torture			
CED	Committee on Enforced Disappearance			
CEDAW	Committee on the Elimination of Discrimi-			
0500	nation against Women			
CERD	Committee on the Elimination of Racial			
05000	Discrimination			
CESCR	Committee on the Economic Social and			
0	Cultural Rights			
Council	Human Rights Council			
CRC	Convention on the Rights of the Child			
CRPD	Convention on the Rights of Persons with			
	Disabilities			
CSO	Civil Society Organization			
ECOSOC	United Nations Economic and Social			
EU	European Union			
FAO	Food and Agriculture Organization of the			
•••	United Nations			
GA	General Assembly			
HLPF	High-Level Political Forum			
HRC	Human Rights Council			
ILO	International Labour Organization			
NHRI	National Human Rights Institutions			
OHCHR	Office of the High Commissioner for			
	Human Rights			
SDC	Swiss Agency for Development and Co-			
	operation			
SDG	Sustainable Development Goals			
SP	Special Procedures			
SuR	State under Review			
UN	United Nations			
UNCT	United Nations Country Team and Agen-			
	cies			
UNESCO	United Nations Educational, Scientific			
	and Cultural Organization			
UNDP	United Nations Development Programme			
UNICEF	United Nations Children's Fund			
UNHCR	United Nations High Commission for			
	Refugees			
UNHRS	United Nations Human Rights System			
UPR	Universal Periodic Review			
WHO	World Health Organization			

ANNEX 1

Comparative chart of committees

	Committee on Economic, Social and Cultural Rights (CESCR)	Human Rights Committee (HRC)	Committee on the Elimination of Discrimination against Women (CEDAW)	Committee on Migrant Workers (CMW)
Which treaties they monitor	 International Covenant on Economic, Social and Cultural Rights (ICESCR) Optional Protocol to the International Covenant on Economic, Social and Cultural Rights 	 International Covenant on Civil and Political Rights (ICCPR) First Optional Protocol to the International Covenant on Civil and Political Rights Second Optional Protocol to the Interna- tional Covenant on Civil and Political Rights 	 International Convention on the Elimination of All Forms of Discrimination against Women New York, 18 December 1979 Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW-OP) 	International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families (ICPMW)
Specific human rights addressed by the committee and relevant for Helvetas	 Right to work Right to just and favorable conditions of work Right to adequate standard of living Right to health Right to education Right to adequate housing Right to adequate food Right to adequate water 	 Right to life Right of peaceful assembly Freedom of association Right to equality Non-derogatory rights Non-discrimination Right to liberty and security of person Prohibition on arbitrary arrest and detention Expulsion of aliens only under due process of law 	All Convention articles	All Convention articles
Members and sessions	 18 members Twice a year for three weeks during May and November/December in Geneva 	 18 members Three times a year for three weeks during March, July, October/November either in New York or in Geneva 	 23 members Allowed to hold multiple sessions and as many meetings it requires to fulfil its obliga- tions 	 14 members Twice a year in April and November in Geneva
Main features	 The ICESCR requires States to "show progress" towards achieving economic, social and cultural rights and not implement the treaty articles as required in other treaties. The language of the treaty is weak. Nevertheless, the CESCR has been instrumental in addressing key human rights issues. Individual complaints are possible under the Optional Protocol. 	 The individual complaint mechanism of the HRC is unique. Anyone can approach the HRC regardless of whether a particular State is up for the review or not. At other committees, one must wait until the particular State is up for review. The jurisprudence of the HRC is widely used for advocacy purposes. 	 The CEDAW is exclusively devoted to gender equality (key element of the SDGs). The individual complaint mechanism is a good source of jurisprudence in terms of women's rights. The decisions of the CEDAW committee are general recommendations – meaning that States parties should pay more attention to areas of concern. In its follow-up procedure to the periodic review, it identifies two priority issues that the States parties are directed to report on two years after the review. The committee adopts statements to clarify and confirm its position with respect to major international developments on a regular basis. 	 The CMW works in close co-operation with the ILO. The CMW actually invites ILO representatives to participate in consultative capacity as and when required. It is a relatively new treaty and the individual complaint mechanism is not yet in force (requires 10 more signatories). The CMW is not the only relevant treaty for migrants' rights. The seven core international human rights treaties and their respective committees also promote the rights of migrant workers and their families. The CMW builds on these treaties.

Specific ways of engaging with NGOs	 Welcomes written submissions from CSOs (international, national, local) at any time prior to the review of a given State party's report. Submissions should be specific, reliable and objective. Parallel submissions prepared by coalitions, rather than individual organizations, and covering a broad range of economic, social and cultural rights are encouraged. All submissions should be in English, French or Spanish and be transmitted to the CESCR Secretariat electronically only. If submissions are not marked "confiden- tial", they are immediately communicated to the State party. Temporary accreditation to attend CESCR sessions in person is possible through the Secretariat. 	 Prefers to receive inputs from CSOs at an early stage of the reporting process. Gives advance notice of its reporting schedules and also welcomes the organization of CSO briefings prior to the adoption of lists of issues. Welcomes the submission of alternative reports, oral presentations by CSOs during the session, and the presence of CSOs as observers during the examination of the State party's report. In a closed meeting preceding the examination of a State party's report, CSO representatives have the opportunity to engage with committee members. This allows CSOs to present their main issues of concern orally with interpretation and to reply to questions from the members. Encourages CSOs to submit alternative reports in the event of a State party's failure to submit a State report. Welcomes CSO inputs on general comments under consideration and under general discussions and also encourages to CSOs to make use of the committee's general comments in their advocacy efforts. Welcomes the use of new technology to enhance contributions from all regions during its sessions, such as video or telephone conference links and webcasting. Encourages CSOs to make independent efforts to translate the committee's documents into local languages. 	 Publishes specific guidelines with exact dates for CSO participation prior to each session on its website. CSOs are encouraged to provide alternative or shadow reports on States parties' reports relating to the implementation of some of or all the provisions of the convention or specific themes focusing on gaps in implementation of the convention or the committee's concluding observations. Encourages CSOs to provide comments and suggestions to the State party's reports in any way they see fit. Recommends that States parties consult CSOs when they prepare their State reports and make their reports available to all sectors of civil society. Written submissions from CSOs must not exceed 3300 words (or 6600 words, if a joint submission is made). Submissions must be made in electronic format to cedaw@ohchr. org. If CSOs wish to submit the information in hard copy format, they should send 15 hard copies of their submission. Submissions from CSOs should highlight priority concerns and contain country-specific information, with a view to facilitating the work of the committee. They must not follow any specific structure or template and may be organized thematically and/or on an article-by-article basis. Oral interventions by CSOs must be concise. On average, a maximum of 10 minutes is allocated for all NGOs wishing to intervene on one particular country. 	 CSOs are encouraged to provide alternative reports to States parties' reports, and to include information on the implementation of some or all of the provisions of the convention or specific themes focusing on gaps in the implementation of the convention or the committee's concluding observations. The reports should be brief, generally not more than 10 pages, and provide country-specific information on priority issues for the State party concerned regarding the convention. It is also use- ful for the reports to include suggested questions and/or specific recommenda- tions to the State party for consideration by the committee. Recommends that States parties consult CSOs when they prepare initial or periodic reports. The committee often asks States parties whether CSOs have been consulted in the preparation of their reports and whether there has been collaboration and transparency in the reporting process. Welcomes oral presentation from CSOs during the session. Encourages CSOs to provide inputs on general comments being developed by the committee and to make use of the general comments in their promotional activities relating to the convention.
Helvetas coun- tries under treaty monitoring	Albania, Bangladesh, Benin, Bolivia, Bosnia & Herzegovina, Burkina Faso, Ecuador, Ethiopia, Guatemala, Haiti, Honduras, India, Kyrgyzstan, Laos, Macedonia, Madagascar, Mali, Nepal, Niger, Pakistan, Peru, Sri Lanka, Tajikistan, Tanzania, Vietnam	 Albania, Armenia, Bangladesh, Benin, Bolivia, Bosnia Herzegovina, Burkina Faso, Ecuador, Ethiopia, Guatemala, Haiti, Hon- duras, India, Kyrgyzstan, Laos, Macedonia, Madagascar, Mali, Mozambique, Nepal, Niger, Pakistan, Peru, Serbia, Sri Lanka, Tajikistan, Tanzania, Vietnam 	Albania, Armenia, Bangladesh, Benin, Bhutan, Bolivia, Bosnia Herzegovina, Burkina Faso, Ecuador, Ethiopia, Guatemala, Haiti, Honduras, India, Kyrgyzstan, Laos, Macedonia, Madagas- car, Mali, Mozambique, Myanmar, Nepal, Niger, Pakistan, Peru, Serbia, Sri Lanka, Tajikistan, Tanzania, Vietnam	Albania, Bangladesh, Bolivia,Bosnia Herzegovina, Burkina Faso, Ecuador, Gua- temala, Honduras, Kyrgyzstan, Madagas- car, Mali, Mozambique, Niger, Peru, Sri Lanka, Tajikistan,

27

Helvetas coun- tries not under treaty monitoring	Bhutan , Mozambique Myanmar (signed)	Bhutan, Myanmar	None	Armenia (signed), Benin (signed), Bhutan, Ethiopia, Haiti, India, Laos, Macedo- nia, Myanmar, Nepal, Pakistan, Serbia (signed), Tanzania, Vietnam
Important juris- prudence for ref- erence on country programmes	 General comment no. 15 on the right to water – UN document No. E/C.12/2002/11 General comment no. 13 on the right to education – UN document No. E/C.12/1999/10 General comment no. 12 on the right to food – UN document no. E/C.12/1999/5 	 General comment no. 34 on the freedoms of opinion and expression – UN document No. CCPR/C/GC/34 General comment no. 15 on the position of aliens under the Covenant – document No. (Twenty-seventh session, 1986) General comment no. 18 on non-discrimi- nation (Thirty-seventh session, 1989) 	 Altogether has issued 30 general reccomandations – check all on http://www.ohchr.org/ EN/HRBodies/CEDAW/Pages/Recommendations.aspx General recommendation no. 24 on women and health – UN document No. CEDAW/C/GC/24 General recommendation no. 26 on the women migrant workers – UN document No. CEDAW/C/GC/26 General recommendation no. 33 on women's access to justice – UN document No. CEDAW/C/GC/33 General recommendation no. 34 on the rights of rural women – UN document no. CEDAW/C/GC/34 	• Altogether has issued three general comments.
Follow-up proce- dures	• Does not have a follow up procedure	 The committee appoints a Special Rapporteur and a deputy rapporteur to review and assess the follow-up information It identifies two to four specific recommendations in its concluding observations that require immediate attention and can be implemented within a year. The committee therefore requests the State party to provide additional information on the measures taken to implement these recommendations one year after the adoption of the concluding observations. The Follow-up rapporteur analyses the follow-up report, presents his or her analysis to the committee, and a decision is taken in plenary as to the follow-up measure to be taken. All the follow-up decisions adopted are made public through the follow-up progress reports that are adopted at each session. 	 The committee appoints a special rapporteur and a deputy rapporteur to review and assess the follow-up information. It requires the State party to provide infor- mation within a period of one or two years on steps taken to implement specific recommen- dations. Such recommendations are selected because it is considered that their lack of implementation constitutes a major obstacle for the implementation of the convention and implementation is seen as feasible within the suggested time frame. 	• Does not have a follow up procedure

Address	Committee on Economic, Social and Cultural Rights (CESCR)	Human Rights Committee (CCPR) Human Rights Treaties Division (HRTD)	Committee on the Elimination of Discrimination against Women (CEDAW)	Committee on Migrant Workers (CMW) Human Rights Treaties Division (HRTD)
	Human Rights Treaties Division (HRTD)		Human Rights Treaties Division (HRTD)	
		OHCHR – Palais Wilson		OHCHR – Palais Wilson
	OHCHR – Palais Wilson	52, rue des Pâquis	OHCHR – Palais Wilson	52, rue des Pâquis
	52, rue des Pâquis	CH-1201 Geneva 10	52, rue des Pâquis	CH-1201 Geneva 10
	CH-1201 Geneva 10	Switzerland	CH-1201 Geneva 10	Switzerland
	Switzerland		Switzerland	
		Mailing address		Mailing address
	Mailing address	UNOG-OHCHR	Mailing address	UNOG-OHCHR
	UNOG-OHCHR	CH-1211 Geneva 10 (Switzerland)	UNOG-OHCHR	CH-1211 Geneva 10 (Switzerland)
	CH-1211 Geneva 10 (Switzerland)	Tel.: +41 22 917 90 00	CH-1211 Geneva 10	Tel.: +41 22 917 93 35
	Tel.: +41 22 917 95 29	Fax: +41 22 917 90 08	(Switzerland)	Fax: +41 22 917 90 08
	Fax: +41 22 917 90 08	E-mail: ccpr@ohchr.org	Tel.: +41 22 917 94 43	E-mail: cmw@ohchr.org
	E-mail: cescr@ohchr.org	Website: http://www.ohchr.org/EN/HRBod-	Fax: +41 22 917 90 08	Website: http://www.ohchr.org/EN/
	Website:	ies/CCPR/Pages/Contact.aspx	E-mail: cedaw@ohchr.org	HRBodies/CMW/Pages/Contact.aspx
	http://www.ohchr.org/EN/HRBodies/		Website: http://www.ohchr.org/EN/HRBodies/	
	CESCR/Pages/CESCRIntro.aspx		CEDAW/Pages/Contact.aspx	

ANNEX 2

Information on selected Special Rapporteurs

Special Rapporteur on the human rights to safe drinking water and sanitation

Mr. Pedro Arrojo-Agudo (Spain) Email: srwatsan@ohchr.org Website: <u>http://www.ohchr.org/EN/Issues/WaterAndSanitation/SRWater/Pages/SRWaterIndex.aspx</u> Social Media: <u>https://medium.com/tools-for-transformation</u> <u>https://twitter.com/srwatsan</u> https://www.facebook.com/SRWatSan/

- The website is not up-to-date on upcoming activities. However, the Special Rapporteur's newsletter announces upcoming events. These could also be a platform for Helvetas for advocacy on water check <u>https://www.ohchr.org/Documents/Issues/Water/Letters/NewsletterJuly2017.pdf</u>.
- The form for submission of information to the special procedures can be found at https://spsubmission.ohchr.org.
- The Special Rapporteur has identified issues in focus with regard to his mandate and invites contributions from civil society via questionnaires on various issues that can be downloaded from the website.
- The Special Rapporteur regularly participates or delivers keynotes at platforms such as the World Water Week or the World Water Forum.

Special Rapporteur on the rights to freedom of peaceful assembly and of association

Mr. Clement Nyaletsossi Voule (Togo) Palais des Nations CH-1211 Geneva 10 Switzerland Fax : + 41 22 917 9006 Email: freeassembly@ohchr.org

Website: https://www.ohchr.org/en/issues/assemblyassociation/pages/srfreedomassemblyassociationindex.aspx

• For thematic priorities and working methods of the current mandate holder refer to http://undocs.org/A/72/135.

Special Rapporteur on the human rights of migrants

Mr. Felipe González Morales Contact: migrant@ohchr.org Website: http://www.ohchr.org/EN/Issues/Migration/SRMigrants/Pages/SRMigrantsIndex.aspx

- Submission of communications/urgent appeals to the Special Rapporteur on the human rights of migrants: <u>http://www.ohchr.org/EN/Issues/Migration/SRMigrants/Pages/Communications.aspx</u> (There is a specific questionnaire form provided for communications with the SR at the end of the webpage)
- Upcoming country visits: http://www.ohchr.org/EN/Issues/Migration/SRMigrants/Pages/CountryVisits.aspx

Special Rapporteur on the promotion and protection of the right to freedom of opinion and expression

Mrs. Irene Khan c/o Office of the High Commissioner for Human Rights United Nations Office at Geneva 1211 Geneva 10 Switzerland Fax: 41 22 917 9006 E-mail: urgent-action@ohchr.org

Website: http://www.ohchr.org/EN/Issues/FreedomOpinion/Pages/OpinionIndex.aspx

- Submission of communications/urgent appeals to the Special Rapporteur on the human rights of migrants: <u>http://www.ohchr.org/EN/Issues/FreedomOpinion/Pages/OpinionQuestionnaire.aspx</u> (There is a specific questionnaire form provided for communications with the SR at the end of the webpage)
- Upcoming country visits: http://www.ohchr.org/EN/Issues/FreedomOpinion/Pages/Visits.aspx



Step-by-step approach: advocating for the right to water and sanitation

Analyse the legal structure	 International legal framework on the right to water and sanitation National laws on the right to water and sanitation Is there a gap between the international legal framework and national laws?
+	
Analyse the issues	 What specific violations do you want to address under the right to water and sanitation Is there a lack or incoherence of policies and laws? Is there a lack of legal or technical expertise? Is there a lack of will to apply existing policies and laws?
+	
Analyse the Government position	 What is your Government's stand on the right to water and sanitation at various international platforms? Does the Government adhere to its position when it comes to realizing right to water and sanitation within the country?
+	
Identify potential allies and form alliances	 Are the local CSOs willing to network for collective action? How do other CSO coalitions handle this issue? Are there enough resources (financial and other) available to address the situation/the violation of the right to water via international mechanisms?
+	
Follow up	• Support your Government in the implementation of the recommendations of the UN bodies, implement them in your country programmes, provide technical support and engage with other stakeholders on the same issue.

Practical guide to using the UN mechanisms on the right to water and sanitation

Approach under treaty-based mechanisms

- 1. Check whether your country has ratified any of the above treaties under annex 1. If yes, when is it due for the periodic review? If no, the treaty mechanisms are ruled out.
- 2. Research on specific treaty articles regarding the right to water (see the detailed list of specific articles relating to right to water and sanitation under all international treaties below). Research on which committee has jurisprudence on it (see annex 4 for guidance on researching UN database for committees' jurisprudence).
- 3. Consider contributing towards a list of issues and write a shadow report.
- 4. In the list of issues and shadow report, you can Include implementation of SDG goal 6 (for a constructive dialogue with the Government).

Approach under charter-based mechanisms

- 1. Link the right to water to the instruments (see below) that are monitored by the Human Rights Council. These include pledges of support by the Government on declarations and principles (SDGs for example).
- 2. Check under http://www.ohchr.org/EN/HRBodies/UPR/Pages/Calendars.aspx to find out when your country is up for review under the UPR process.
- 3. Check guidelines under http://www.ohchr.org/EN/HRBodies/UPR/Pages/NgosNhris.aspx and prepare a stakeholder report together with CSO alliances, lobby UN members and give feedback to relevant UN agencies.
- 4. Follow the work of the Special Rapporteur on the human rights to safe drinking water and sanitation. If needed, ask the Government to respond on specific issues under the communication procedure through the Special Rapporteur.

Approach under Office of the High Commissioner for Human Rights

- 1. If the Government is lacking technical or professional expertise, as an international NGO get in touch with the OHCHR in your region for support and information. This could also be done through getting in touch with the Special Rapporteur.
- 2. If there is a lack of policy/guidelines on a specific aspect of the right to water under international law provide your inputs from field expertise to the mechanisms that carry out thematic studies.
- 3. Coordinate and network with UN specialized agencies such as ILO, FAO, UNDP, UN-habitat if they have presence in your country. Get their support to include your inputs for their country reporting under the UPR process.

After the process

Support your Government in implementing the recommendations of the UN bodies, provide technical support and provide training to other stakeholders.

Useful resources for advocacy on the right to water and sanitation

Main international treaties - for considering treaty-based mechanisms

- International Covenant on Economic Social and Cultural Rights the right to water has been interpreted under Article 11 (1) and Article 12 (1) – through General Comment no. 15 of the CESCR, document no. E/C.12/2002/11
- Convention on the Elimination of All Forms of Discrimination against Women, adopted in 1979 (art. 14 (2))
- Convention on the Rights of the Child, adopted in 1989 (arts. 24 and 27 (3))
- · Convention on the Rights of Persons with Disabilities, adopted in 2006 (art. 28)

Main international guiding principles and framework for implementation of the right to water and sanitation for considering charter-based mechanisms

- Universal Declaration of Human Rights (1948)
- SDG goal 6 (see, http://sdg.humanrights.dk/en/targets2?goal[]=75, for linking SDG goal 6 and achievable targets with corresponding international legal instruments and framework. Same guide also gives informations on other SDG goals)
- The Dublin Statement on Water and Sustainable Development Adopted January 31, 1992 in Dublin, Ireland http://un-documents.net/h2o-dub.htm
- International Labour Organization (ILO) Convention No. 161 concerning Occupational Health Services, adopted in 1985 (art. 5)
- UN Fact sheet No. 35 on the right to water and sanitation

UN Resolutions and guidelines on implementation of the right to water and sanitation

- GA Resolution <u>A/RES/64/249</u> the United Nations General Assembly explicitly recognized the human right to water and sanitation and acknowledged that clean drinking water and sanitation are essential to the realization of all human rights – calling States and international organizations to provide financial resources, help capacity-building and technology transfer to help countries, in particular developing countries, to provide safe, clean, accessible and affordable drinking water and sanitation for all.
- Sub-Commission on the Promotion and Protection of Human Rights, resolution No. <u>E/CN.4/Sub.2/2005/25</u>¹

 draft guidelines to assist government policy makers, international agencies and members of civil society on how to implement right to water and sanitation, highlighting the main and most urgent components
- Report of the United Nations High Commissioner for Human Rights on the scope and content of the relevant human rights obligations related to equitable access to safe drinking water and sanitation under international human rights instruments, document No. A/HRC/6/3
- Latest reports of the Special Rapporteur on the human rights to water and sanitation providing concerns and recommendations to States and development agencies
 A/HRC/36/45, 19/07/2017 presented to the council
 A/72/127, 13/07/2017 presented to the General Assembly
 A/HRC/RES/33/10, 05/10/2016 resolution of the council
 A/71/302, 05/08/2016, presented to the General Assembly
 A/HRC/33/49, 27/07/2016, presented to the council
- Best practices report on the right to water and sanitation by the Special Rapporteur on the human rights to water and sanitation http://www.ohchr.org/Documents/Issues/Water/BookonGoodPractices_en.pdf

¹ Please follow the specific instructions, "How to find official UN documents in the UN database system" in Annex 4. A simple google search does not always give links to the documents.

ANNEX 4

Step-by-step approach: Advocating for the enabling environment for civil society

Analyse the legal structure	 International legal framework on safe civic space Analyse the national laws and policies on EEfCS Is there a gap between the international legal framework and national laws? 			
+				
Analyse the issues	 What is your case? Why do you think that it requires an international dialogue and cannot be solved at local or national level? Do you have enough evidences to build your case? 			
+				
Analyse the Government position	 What official position your government has taken at the various international platforms on EEfCS issue? How has the Government implemented/not implemented in its commitments on EEfCS issue? 			
+				
Identify potential allies and form alliances	 Support/facilitate local CSO networking and empower them to raise issue through coalition/joint actions Are there enough financial resources available for such action? 			
+				
Take action	• Get familiar with different UN mechanisms and approach them. The UN Special Rapporteurs and UPR Review are the most useful and non-intrusive mechanism for EEfCS (through joint submissions). Approaching treaty-based mechanisms for EEfCS are recommended only on a very selective basis.			
+				
Follow up	 Follow up with the recommendations of the UN mechanisms at various levels – in programming, with local coalitions and local government officials (A way to connect local issues with international advocacy); Raise awareness about international analyses and expectations; monitor and evaluate responsive measures taken by the authorities at the local/central level; Strengthen existing advocacy material through authoritative, objective languages, effective strategies and good practice solutions; Improve the quality of dialogue with public officials 			

How to recognize the restrictions on civil society

Following is the exhaustive list of the UN recognized restrictions/encroachments upon the civic space

Law or rule-based measures impeding civil society work by:

- requiring registration without positive benefits;
- limiting what types of activities can be done;
- · criminal sanctions for unregistered activities;
- restrictions placed on the registration of specific associations, including international NGOs, or associations receiving foreign funding or groups working on human rights;
- · setting criteria for who or what can undertake activities or limiting those activities
- · restricting sources of financing (i.e. foreign sources) and
- legislation governing freedom of peaceful assembly, association and expression that contains discriminatory provisions, or have a disproportionately negative impact on some groups.

Arbitrary measures through:

- vague provisions in law under the guise of legality and legitimacy (e.g. anti-money-laundering, anti-terrorism, national security, public morals, defamation, protection of national sovereignty), amounting to –
- arbitrary scrutiny of management and internal governance;
- threats of, or actual, de-registration;
- forced of office closures;
- · search and seizures of property;
- exorbitant fines;
- spurious prosecutions;
- arbitrary arrests and detentions;
- bans on travel;
- deprivation of nationality and
- arbitrary limitations or cancellations of protests or gatherings.

Extra-legal harassment, intimidation and reprisals through:

- threatening phone messages;
- surveillance;
- physical or sexual assaults;
- destruction of property;
- · deprivation of employment or loss of income;
- smear campaigns labeling civil society actors as 'enemies of the state', 'traitors', or as working for 'foreign interests';
- disappearances, u Torture, and u Killings.

Any of these restrictions could be either in isolation or in combination of one or the other. But having any of these or in combination is a recognition that the civic space is under threat.
Main international treaties, declarations and standards that address the issue of safe civic space

At present, there is no "Right to safe civic space" and there is no single international treaty that addresses the right to safe civic space directly. Under the international human rights law, the freedoms of opinion and expression, freedom of peaceful assembly and association, right to participate in public affairs and principle of non-discrimination form the basic core of international human rights law guarantees on the issue of safe civic space. These are found in the following international legal instruments and standards.

- Universal Declaration of Human Rights (articles 19, 20, 21)
- International Covenant on Civil and Political Rights (articles 19, 21, 22, 25)
- International Covenant on Economic, Social and Cultural Rights (articles 8, 15)
- Convention on the Elimination of All Forms of Discrimination against Women (article 3)
- International Convention on the Elimination of All Forms of Racial Discrimination (article 5)
- Convention on the Rights of the Child (articles 13, 15)
- Convention on the Rights of Persons with Disabilities (articles 21, 29, 30)
- International Convention for the Protection of All Persons from Enforced Disappearance (article 24)
- International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families (article 26)
- International Labour Organization (ILO)'s <u>Convention no. 87</u> on Freedom of Association and Protection of the Right to Organise
- ILO's Convention no. 98 on the Right to Organise and Collective Bargaining
- ILO's Convention no. 135 on Workers' Representatives
- Declaration on Human Rights Defenders (article 5)

SDG Goals

- SDG goal 16 and 17 (see, <u>http://sdg.humanrights.dk/en/targets2?goal[]=75</u>, for linking SDG goals and achievable targets with corresponding international legal instruments and framework. Same guide also gives information on other SDG goals).
- Busan Partnership for Effective Development Co-Operation (Government agreed standards for EEfCS)
 - Democratic, political and policy dialogue (principle 9)
 - Enabling financing (principle 10)
 - Consistency with agreed international commitments on human rights, decent work, gender equality, environment sustainability and disability (principle 11)
 - Commitment to CSOs to exercise their roles as independent development actors, with a focus on enabling environment (principle 22)
 - Transparency and shared accountability (principles 13 and 23)

The civic space issue could also be raised for Economic, Social and Cultural rights – when non-fulfilment of the ESC rights are due to restrictions on fundamental freedoms. To do this, the broader aspect of restrictive freedoms must be the main reason.

Practical guide to using the UN mechanism on the enabling environment for civil society

Approach under treaty-based mechanisms

- To understand how your government has been projecting itself on the UN platform, study the Common Core Document of your country at <u>https://tbinternet.ohchr.org/_layouts/TreatyBodyExternal/CoreDocuments.aspx</u> Here, there is latest information on what position your government is taking for implementing international human rights laws. It also makes reference to national level implementation of international treaties.
- 2. Check when is your country is up for the review at which of the UN committee at https://tbinternet.ohchr. org/_layouts/TreatyBodyExternal/MasterCalendar.aspx?Type=Session&Lang=En
- 3. Go to the relevant committee's page to look for specific information to help you prepare for a joint submission. For Example, <u>Committee on Civil and Political Rights (Human rights committee)</u>. On the webpage under Meetings and deadlines click on Sessions <u>https://tbinternet.ohchr.org/_layouts/</u><u>TreatyBodyExternal/SessionsList.aspx?Treaty=CCPR</u> Here you will find the report of your government that has been submitted to the committee for upcoming review, together with the list of issues, reply to the list of issues and NGO submissions.
- 4. Once you have these documents research as to what your government has written under its submission on the ICCPR articles (articles 19, 21, 22, 25).
- 5. Prepare a shadow report keeping in mind all the relevant background documents mentioned above (common core document, state party report, reply to list of issues).
- 6. You can use the same methodology for other UN committees likewise CESCR, CEDAW, CMW.

Approach under charter-based mechanisms

Special Rapporteurs

- 1. Follow the mandates of the <u>Special Rapporteur on the rights to freedom of peaceful assembly and of association</u>, <u>Special Rapporteur on the promotion and protection of the right to freedom of opinion and expression</u>, <u>Special Rapporteur on the situation of human rights defenders</u>.
- 2. Check the <u>calendar of forthcoming country visits</u> of the UN SR. Before their country visits, they invite for written submissions from CSOs within the country on highlighted thematic issues. Make a joint submission, highlight-ing the evidences of violations of fundamental freedoms and closed civic space.
- 3. If there is urgent need, write an urgent letter to the relevant SR.

UPR Review

- 1. Check under https://www.ohchr.org/EN/HRBodies/UPR/Pages/CyclesUPR.aspx when your country is up for review under the UPR process.
- 2. Consider providing inputs for "In-country consultations for National Report" by the Government.
- 3. Consider facilitating and coordinating joint submission for the stakeholder's report. Check guidelines under http://www.ohchr.org/EN/HRBodies/UPR/Pages/NgosNhris.aspx.
- 4. Highlight restrictions on fundamental freedoms, how it affects your work and evidences of human rights violations that has occurred due to that. Link violations to the international human rights instruments.
- 5. For the stakeholder's report there is also an option to reach out to CIVICUS on EEfCS and make a submission through them.
- 6. Approach UN agencies and permanent missions in Geneva to raise an issue on your behalf.

Approach under Office of the High Commissioner for Human Rights

1. Contact the nearest OHCHR office or UN country team through <u>https://www.ohchr.org/EN/Countries/Pag-es/HumanRightsintheWorld.aspx</u> or contact through <u>civilsociety@ohchr.org</u> to share urgent concerns on safe civic space.

Useful resources for advocacy on the enabling environment for civil society

UN documents

- Report of the UN Secretary General on, "Twentieth anniversary of the Declaration on the Right and Responsibility of Individuals, Groups and Organs of Society to Promote and Protect Universally Recognized Human Rights and Fundamental Freedoms," UN Document <u>A/73/230</u>, 27 September 2018 (This report compiles the of measures taken by the UN to give effect to the provisions of the Declaration on Human Rights Defenders and refers the "Civil society" as "Human right defenders" with the understanding that not all civil society actors are human rights defenders.
- Report of the UN High Commissioner for Human Rights on "Procedures and practices in respect of civil society engagement with international and regional organizations," UN Document <u>A/HRC/38/18</u>, 18 April 2018 (This report recommends clear, effective, human rights-based and gender-sensitive policies on and channels of, participation and engagement and strengthened transparency of decision-making processes. With a view to ensuring the diversity of civil society participation, it calls on organizations to reach out proactively to underrepresented parts of civil society).
- Report of the UN High Commissioner for Human Rights on "Practical recommendations for the creation and maintenance of a safe and enabling environment for civil society, based on good practices and lessons learned," UN Document <u>A/HRC/32/20</u>, 11 April 2016 (This report is a compilation of practical recommendations of the High Commissioner for the creation and maintenance of a safe and enabling environment for civil society, based on good practices, lessons learned and future engagements with States, the special procedures of the Human Rights Council, the treaty bodies, relevant United Nations bodies and agencies, regional human rights mechanisms, national human rights institutions, civil society and other stakeholders on the issue).
- Reports of the Special Rapporteur on the rights to freedom of peaceful assembly and of association:
 - UN Document <u>A/73/279</u>, 7 August 2018 (This report explores the linkages between the exercise of the rights to freedom of peaceful assembly and of association and the implementation of the 2030 Agenda for sustainable development).
 - UN Document A/HRC/35/28, 6-23 June 2017 (This report underscores the imperative of an enabling civic environment and the exercise of the rights to freedom of peaceful assembly and of association in achieving these aspirations).
- Reports of the Special Rapporteur on the promotion and protection of the right to freedom of opinion and expression:
 - UN Document <u>A/HRC/38/35</u>, 6 April 2018, (this report proposes a framework for the moderation of user-generated online content with regard to freedom of expression)
 - UN Document <u>A/HRC/29/32</u>, 22 May 2015, (this report details how encryption and anonymity tools provide the security necessary for the exercise of freedom of expression)
 - UN Document <u>A/HRC/32/38</u>, 11 May 2016 (this report does mapping ways in which the information and communications technology sector implicates freedom of expression)
 - <u>Current focus issues</u> on Freedom of expression and Opinion (this page gives Paragraph-wise references of UN reports on specific issues in relation to freedom of expression and opinion (highly recommended!))
 - Research paper on <u>Encryption and Anonymity</u> updating the Special Rapporteur's 2015 report to the Human Rights Council
- UN Human Rights Council Resolutions on safe civic space (only the latest ones):
 - UN Resolution A/HRC/RES/38/12, 16 July 2018 (Nepal, Pakistan, Switzerland supported Kyrgyzstan abstained)
 - UN Resolution A/HRC/RES/31/32, 20 July 2016 (Albania, Bangladesh, Switzerland supported Bolivia, Kyrgyzstan abstained)
 - UN Resolution A/HRC/RES/27/31, 3 October 2014
 - UN Resolution A/HRC/RES/24/21, 9 October 2013

- General Assembly resolutions on Declaration on the Right and Responsibility of Individuals, Groups and Organs of Society to Promote and Protect Universally Recognized Human Rights and Fundamental Freedoms:
 - UN Resolution A/RES/72/247, 25 January 2018
 - UN Resolution A/RES/70/161, 17 December 2015
 - UN Resolution A/RES/68/181, 18 December 2013
 - UN Resolution A/RES/66/164, 19 December 2011
- References in case of acts of reprisals and intimidations for cooperating with UN mechanisms Email contact reprisals@ohchr.org and specific information on https://www.ohchr.org/EN/Issues/Reprisals/Pages/How-ToShareInformationAboutCases.aspx

References to other guides and tools on safe civic space

- OHCHR Guide on, "Civil Society space and the UN Human Rights system", <u>https://www.ohchr.org/Docu-</u> ments/AboutUs/CivilSociety/CS_space_UNHRSystem_Guide.pdf
- OHCHR Manual on human rights monitoring, Chapter 16 on "Engagement and partnerships with civil society" at https://www.ohchr.org/Documents/Publications/Chapter16-MHRM.pdf
- Global civil society alliance, CIVICUS, guide, "Compendium of International Legal Instruments and Other Intergovernmental Commitments Concerning Core Civil Society Rights" – This guide consolidates various international standards and commitments made by governments at the regional and global levels to protect civil society and ensure participation in public processes. <u>https://civilsociety-centre.org/sites/default/files/re-</u> sources/compendium_international_legal_instruments_et_al_2014.pdf
- CIVICUS guide on, "Reporting human rights violations to UN special procedures An introductory guide" <u>https://www.civicus.org/documents/toolkits/Human%20Rights%20reporting%20guide%20%20en.pdf</u>
- ICNL Toolkit on "Defending Civil Society" http://prod.defendingcivilsociety.org/en/index.php/home

Useful resources if there are threats, intimidation and urgent assistance for individuals

- Swiss Government guidelines on human rights defenders <u>https://www.eda.admin.ch/dam/eda/en/documents/</u> publications/MenschenrechtehumanitaerePolitikundMigration/Leitlinien-zum-Schutz-von-HRD_en
- European Union delegation contact directory <u>https://eeas.europa.eu/headquarters/headquarters-homepage/</u> area/geo_en
- ProtectDefenders.eu works to support defenders through emergency support, temporary relocation grants and trainings <u>https://protectdefenders.eu/files/ProtectDefendersUK.pdf</u> – useful emails and telephone numbers <u>https://www.protectdefenders.eu/en/resources.html</u>
- CIVICUS guide on, "The Civil Society Support Mechanisms: A Directory", lists mechanisms available to assist individuals and organizations based on their specific threat or based on their location. The database is also divided into national, regional and global mechanisms and contains information on how to engage each mechanism as well as contact details for each. https://www.civicus.org/documents/toolkits/civil-society-sup-port-mechanisms-directory.pdf
- IHRF Directory on Emergency/Rapid Response Grants https://www.hrfn.org/wp-content/uploads/2017/05/
 Directory_EmergencyResponseGrants_2015_0.pdf
- Forum Asia urgent assistance support https://www.forum-asia.org/?p=7302



Step-by-step approach: advocating for migrant workers' rights at the UN

The Step-by-Step guide provided below details the information and resources required for advocating migrant workers' rights under the UNHRS¹.

Identify potential allies and form alliances	 Identify a possible UN opportunity (consider countries for origin and destination). Identify allies for joint action/ local partners for joint action. Are there enough financial resources? Facilitate exchange of ideas, inputs and feedback – online. 	
Assess the migrant workers' issue	 What are the countries involved? (country of origin and country of destination) What human right issue migrant workers are facing? (make a link to relevant human right from the list below). Analyze the domestic framework of "that country which is required to protect relevant human rights" and compare them with the international human rights guarantees as described below. Is there a gap between the international legal framework and domestic legal framework? 	
Build your case	What is your case?Do you have enough evidences to build your case?	
Analyze the gov- ernment position	 What official position has your government taken at the various UN platforms² on the migrant workers' issue? How has the government implemented/not implemented in its commitments on migrant workers' rights? 	
Take action	 Based on the above three steps – Plan and conduct/facilitate joint consultation workshop to write a Joint submission report for UN mandate. Send submission to the relevant UN mandate/committee/council. 	
Follow up	 Follow up with the recommendations of the UN mechanisms at various levels in programming, with local coalitions and local government officials (A way to connect local issues with international advocacy); Raise awareness about international analyses and expectations; monitor and evaluate responsive measures taken by the authorities at the local/central level; Strengthen existing advocacy material through authoritative, objective languages, effective strategies and good practice solutions; Improve the quality of dialogue with public officials 	

¹ This Step-by step advocacy guide is complementary to the Helvetas Position Paper "Across Borders: Why we must shape migration" and Helvetas guidance paper on "Migration and Development".

² Check Common Core Document from treaty bodies and National Report from the UPR Review for your country.

International human rights law provisions relevant for migrant workers (Focus – labour migration)

- Right to life
- · Access to justice and right to have an effective remedy
- Equality and non-discrimination
- · Protection against arbitrary arrest and detention
- · Protection against torture or inhuman treatment
- Non-refoulement
- · Prohibition against collective expulsion
- · Migrant workers' family rights and rights of their family members
- · Protection against slavery or servitude
- Protection against labour exploitation
- Freedom of movement
- · Freedom of association and right to join trade unions
- Economic, social and cultural rights right to food, right to housing, right to health, right to social security, right to education, right to enjoy culture
- Specific protection to migrant children non-discrimination, best interest of the child, right of the child to be heard, right to identity -including name and nationality, right to liberty and alternatives to detention, freedom from violence, right to family life, right to health, protection from economic exploitation, protection against child labour
- Specific protection to migrant women Non-discrimination (prohibition on discrimination based on gender identity), access to decent work and social protection, access to health care including sexual and reproductive health and education, protection from all forms of violence and trafficking, access to justice for gender-based and sexual violence

Main international treaties, declarations and standards on migrant workers' rights

- International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families –
 (Key considerations: protections that respond to the specific situations that migrant workers and members of
 their families can experience; documented migrant workers and members of their families have specific rights;
 countries of origin and destination have specific obligations to respect, protect and fulfil the rights of migrant
 workers and members of their families)
- Universal Declaration of Human Rights (articles 2 and 15)
- International Covenant on Civil and Political Rights (articles 3, 8, 12, 13, 26, 27)
- International Covenant on Economic, Social and Cultural Rights (articles 2, 3, 6, 7, 8)
- Convention on the Elimination of All Forms of Discrimination against Women (articles 4, 6, 9, 11, 16)
- International Convention on the Elimination of All Forms of Racial Discrimination (articles 2,4,5,6,7)
- <u>Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment</u> (articles 3, 11, 12, 16)
- Convention on the Rights of the Child (articles 2, 3, 7, 22, 30, 34, 35)
- ILO Migration for Employment Convention (Revised), 1949 (No. 97), ILO Migrant Workers (Supplementary Provisions) Convention, 1975 (No. 143) and ILO Convention C189 – Domestic Workers Convention, 2011 (No. 189)³

SDG Goals and targets related to migration

 Target 3. C – health workforce; Target 4.b – Scholarships and training; Targets 5.2, 8.7 and 16.2: human trafficking and migrant smuggling; Target 8.8: labour rights; Target 10.7: well-managed migration policies; Target 10.c: transaction costs of remittances; Target 17.3: additional financial resources; Target 17.8: disaggregation of data

³ There are more ILO conventions. For complete list please check https://www.globalmigrationgroup.org/international-normative-framework

Standards and principles

- UN Global Compact on safe and orderly migration
- New York Declaration for Refugees and Migrants

Practical guidance to using the UN mechanism on migrant workers' issues (labour migration)

Approach under treaty-based mechanisms (focus – labour migration)

- To understand how your government has been projecting itself on the UN platform, study the Common Core Document of your country at <u>https://tbinternet.ohchr.org/_layouts/TreatyBodyExternal/CoreDocuments.aspx</u> Here, there are latest information on what position your government is taking for implementing international human rights laws. It also makes reference to national level implementation of international treaties.
- 2. Check when is your country up for the review at which of the UN committee at https://tbinternet.ohchr.org/_lay-outs/TreatyBodyExternal/MasterCalendar.aspx?Type=Session&Lang=En
- 3. Go to the relevant committee's page to look for specific information to help you prepare for a joint submission. For example For Committee on Migrant Workers –, https://www.ohchr.org/EN/HRBodies/CMW/Pages/CMWIntro.aspx
 On the webpage under Meetings and deadlines click on Sessions https://tbinternet.ohchr.org/EN/HRBodies/CMW/Pages/CMWIntro.aspx
 On the webpage under Meetings and deadlines click on Sessions https://tbinternet.ohchr.org/_lay-outs/15/TreatyBodyExternal/SessionsList.aspx?Treaty=CMW Here you will find the report of your government that has been submitted to the committee for upcoming review, together with List of issues, reply to list of issues and NGO submissions.
- 4. Once you have these documents research as to what your government has written under its submission on your thematic issue.
- 5. Prepare a shadow report keeping in mind all the relevant background documents mentioned above (Common core document, state party report, reply to list of issues).
- 6. You can use the same methodology for other UN committees that are relevant for migrant workers' rights CERD | CESCR | CEDAW | CAT | CRC | CCPR | CRPD | CED

Approach under charter-based mechanisms

Special Rapporteurs

- 1. Follow the mandates of the special rapporteur on the rights of migrants, the special rapporteur on the human rights of internally displaced persons, and the secretary-general's special representative for international migration.
- 2. Check the <u>calendar of forthcoming country visits</u> of the UN SR. Before their country visits, they invite for written submissions from CSOs within the country on highlighted thematic issues. Make a joint submission, highlighting the evidences of violations of fundamental freedoms and closed civic space.
- 3. If there is urgent need, write an <u>urgent letter</u> to the relevant SR.

UPR Review

- 1. Check under https://www.ohchr.org/EN/HRBodies/UPR/Pages/CyclesUPR.aspx when is your country up for review under UPR process.
- 2. Consider providing inputs for "In-country consultations for National Report" by the Government (Start with the process at least a year in advance).
- 3. Consider facilitating and coordinating joint submission for stakeholder's report. Check guidelines under http://www.ohchr.org/EN/HRBodies/UPR/Pages/NgosNhris.aspx.
- 4. Approach UN agencies and permanent missions in Geneva to raise issue on your behalf.

Approach under Office of the High Commissioner for Human Rights

Contact the nearest OHCHR office or UN country team through <u>https://www.ohchr.org/EN/Countries/Pages/HumanRightsintheWorld.aspx</u> or contact through <u>civilsociety@ohchr.org</u> to share urgent concerns on safe civic space.

Other migrant specific UN mechanisms

<u>UN Network on Migration</u> – This is a successor to the <u>Global Migration Group</u>, and is established to ensure effective, coordinated system-wide support to the implementation of the <u>Global Compact on Safe</u>, <u>Orderly and</u> Regular Migration.

Useful resources

UN ressources

- OHCHR and Migration page
 <u>https://www.ohchr.org/EN/Issues/Migration/Pages/MigrationAndHumanRightsIndex.aspx</u>
- UN Committee on Migrant Worker's Rights
 https://www.ohchr.org/en/hrbodies/cmw/pages/cmwindex.aspx

Latest UN documents

- COVID-19 and the human rights of migrants: OHCHR guidance at www.ohchr.org/Documents/Issues/Migration/OHCHRGuidance_COVID19_Migrants.pdf)
- Principles and practical guidance on the protection of the human rights of migrants in vulnerable situation Useful guideline from the High Commissioner on Human Rights, defines key terms related to migration, key human rights principles and it's corresponding legal framework <u>https://www.ohchr.org/Documents/Issues/</u> <u>Migration/PrinciplesAndGuidelines.pdf</u>
- · Reports of the UN Secretary General relating to migration
 - A/HRC/45/30, 3 September 2020 Report on human rights of migrants
 - A/75/292, 5 August 2020 Report on migration and development
 - A/70/59, 21 April 2016 In safety and dignity: addressing large movements of refugees and migrants (This report was the basis for creating two global compacts)
- Reports of the Special Rapporteur on the human rights of migrants
 - A/HRC/41/38, 15 April 2019 The impact of migration on migrant women and girls: a gender perspective
- Report of the Office of the High Commissioner of human rights to Secretary General
 - A/HRC/33/67, 13 October 2016 Promotion and protection of human rights of migrants in the context of large movements
- UN Resolutions on migrants (only the latest ones)
 - UN GA Resolution A/RES/74/148, 22 January 2020 on protection of migrant workers
 - UN GA Resolution A/RES/73/241, 18 January 2019 on international development and migration (This resolution refers to all existing UN resolutions on development and migration issue)
 - UN GA Resolution A/RES/73/195, 19 December 2018 adoption of the Global Compact for safe, orderly and regular migration

Reference to other guides and tools

- Global Migration Group detailed international normative framework with reference to all treaties, ILO standards, resolutions and declarations https://www.globalmigrationgroup.org/international-normative-framework
- · Key migration terms and definitions https://www.iom.int/key-migration-terms
- International Justice Research Centre dedicated page on migrant workers' rights and protection with extensive resources https://ijrcenter.org/thematic-research-guides/immigration-migrants-rights/#Legal_Protections
- UN webpage on Refugees and migrants key definitions, documents, links to government negotiations and all landmark UN documents https://refugeesmigrants.un.org/

ANNEX 6

Step-by-step approach: advocating for land rights at the UN

"Land issues" impacting upon human rights is complex and depends on a number of contextual factors. Domestic laws, policies and customs usually determine how land is used, controlled and transferred. Legal recognition of individual land titles may strengthen security of tenure. However, when domestic laws fail to recognize land tenure rights exercised as customary or subsidiary tenure (e.g., tenure rights exercised by indigenous peoples, or seasonal use of land by nomadic communities), individual titling may undermine access and control over land by people whose livelihood depends on it. In many countries land issues are discriminatory and customary laws hold no validity in national courts. In such scenario, connecting land issues with the international human rights framework is one way of challenging discriminatory land issues.

Identify potential allies and form alliances	 Identify a possible UN opportunity. Identify allies for joint action/ local partners for joint action. Are there enough financial resources? Facilitate exchange of ideas, inputs and feedback – online. 	
Assess the land rights issue	 Analyze the domestic framework of the country within the context of land rights and compare them with the international human rights guarantees as described under the relevant treaties below. Is there a gap between the international legal framework and domestic legal framework? 	
Build your case	 What is your case? Why do you think that it requires international dialogue and cannot be solved at local or national level? Do you have enough evidences to build your case? 	
Analyze the Gov- ernment position	 What official position has your government has taken at the various UN platforms on land related rights? How has the Government implemented/not implemented in its commitments on land rights? 	
Take action	 Based on the above steps – Plan and conduct/facilitate joint consultation workshop to write a Joint submission report for UN mandate. Send submission to the relevant UN mandate/committee/council. 	
Follow up	 Follow up with the recommendations of the UN mechanisms at various levels in programming, with local coalitions and local government officials (A way to connect local issues with international advocacy). Raise awareness about international analyses and expectations; monitor and evaluate responsive measures taken by the authorities at the local/central level; Strengthen existing advocacy material through authoritative, objective languages, effective strategies and good practice solutions; Improve the quality of dialogue with public officials. 	

Advocacy on land rights with the UN mechanisms

There is no stand-alone human right to land under the international human rights law, nonetheless several human rights instruments make link to other human rights with land rights. To address land rights within the international human rights framework, it is important to make connection **on how land issue has an impact on enjoyment or fulfilment of other human rights in question.** To this regard, the UN human rights treaty bodies and Special Rapporteurs have addressed a series of land issues in relation to various rights including non-discrimination, rights to adequate housing, food, water, health, work, freedom of opinion and expression, self-determination, as well as the right to participate in public affairs and cultural life.

Specific human rights that can be applied to land related issues

- · Non-discrimination and Equality
- Rule of law
- · Right to adequate food
- Right to adequate Housing
- Right to an effective remedy
- Right to freedom of movement and residence
- Rights to freedom of opinion and expression
- · Rights to freedom of assembly and association
- Right to freedom of religion
- Right to information
- Right to life
- Right to take part in cultural life
- Right to water and sanitation
- Rights of indigenous peoples working on their traditional lands and responsibilities of business enterprises and State duties

How to make connection with specific human rights norms/terminology in the context of land rights

Rights over land for shifting cultivation – Connect with human rights norms on "customary use of land" – if the context is for indigenous people. Otherwise connect with the rights to food, housing, water, health, housing – if the context is non-indigenous or rural communities.

Expropriation of land for public purposes – Connect with "forced evictions", "rights to adequate housing", "rights to access to justice and remedy".

Land leases and concessions to domestic and foreign investors – Connect with FPIC (Free Prior and Informed Consent) for indigenous communities. There is no normative structure to apply this for non-indigenous/ rural communities. A way to address them in all cases would be under "Human Rights and Environment Impact Assessment" which is an integral part of UN Guiding Principles on Business and Human Rights. Here, it is important to note that responsibility to "Do no harm" lies with both – The "Government" and "Private sector partner." Key for advocacy is to work on "How access to remedy is addressed within the domestic laws."

Women's right over customary use of land – specific terms – women's right to legal security tenure, forced evictions against women at the hands of public and private actors, meaningful participation in decision-making, combating inter-sectional and multiple forms of discrimination, women's access to justice and enforcement, promotion of customary practices of women's access to, use of and control to land and other productive resources, marital property rights, equal inheritance rights, removal of marital power and "head of household" concept, access to loans and credits, land rights for particular group of women – indigenous women, displace women etc.

Land tilting, Land registry and Forest law land management – It is difficult to identify human rights that directly relate to this. Though it is possible to address the consequences that occur by not observing these rights. When there are instances of "enforced displacements" or "internally displaced people", such can be addressed within the UN human rights mechanisms. Possible under "Right to effective remedy" "forced evictions" and "development-based evictions".

Main international treaties, declarations and standards that relate to land issues

- Universal Declaration of Human Rights (articles 2,7,8, 13, 17, 18, 19, 20, 21, 25)
- International Covenant on Civil and Political Rights (articles 2,3,6 12, 17, 18, 19, 21, 23, 25, 26, 27)
- International Covenant on Economic, Social and Cultural Rights (articles 1, 2,3,11, 15)
- Convention on the Elimination of All Forms of Discrimination against Women (articles 2, 14, 15)
- International Convention on the Elimination of All Forms of Racial Discrimination (articles 1, 5)
- Convention on the Rights of the Child (articles 14, 30, 31)
- International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families (articles 12, 13, 39)
- <u>United Nations Declaration on the Rights of Indigenous Peoples</u> (articles 3, 5,10, 11,12,13,15, 18, 19, 27, 28, 29, 30, 32, 40, 41)
- Convention on the Rights of Persons with Disabilities (articles 5)
- ILO Convention concerning Indigenous and Tribal Peoples in Independent Countries (No. 169), 1989
- SDG Goals and targets related to land rights
- Goal 1, Target 1.4 equal rights to economic resources; Goal 2, Target 2.3 and 2.4 Promotion of resilient and sustainable agricultural practices; Goal 5, Target 5 empower all women and girls, Goal 11, sustainable cities and communities, Goal 13, climate action, Goal 15, Life on land, Goal 16, Peace, Justice and strong institutions

Voluntary standards

- <u>Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security</u> (Rome, FAO, 2012)
- UN Guiding Principles on Business and Human Rights

Practical guidance to using the UN mechanism on land issues

Approach under treaty-based mechanisms

- To understand how your government has been projecting itself on the UN platform, refer the Common Core Document of your country at <u>https://tbinternet.ohchr.org/_layouts/TreatyBodyExternal/CoreDocuments.aspx</u> Here, there are latest information on what position your government is taking for implementing international human rights laws. It also makes reference to national level implementation of international treaties.
- 2. Check when is your country up for the review at which of the UN committee at https://tbinternet.ohchr.org/_lay-outs/TreatyBodyExternal/MasterCalendar.aspx?Type=Session&Lang=En.
- 3. Go to the relevant committee's page to look for specific information to help you prepare for a joint submission. For Example, <u>Committee on Civil and Political Rights (Human rights committee)</u>. On the webpage – under meetings and deadlines – click on Sessions – <u>https://tbinternet.ohchr.org/_layouts/</u><u>TreatyBodyExternal/SessionsList.aspx?Treaty=CCPR</u> – Here you will find the report of your government that has been submitted to the committee for upcoming review, together with List of issues, reply to list of issues and NGO submissions.
- 4. Once you have these documents research as to what your government has written with reference to land rights.
- 5. Prepare a shadow report keeping in mind all the relevant background documents mentioned above (Common core document, state party report, reply to list of issues).
- 6. You can use the same methodology for other UN committees that are relevant for land rights <u>CERD</u> | <u>CESCR</u> | <u>CEDAW</u> | | <u>CRC</u> | <u>CMW</u> | | <u>CRPD</u>.

Approach under charter-based mechanisms

Special Rapporteurs mandates that are addressing land issues

- 1. Follow the mandates of <u>Special Rapporteur on internally displaced people</u>, <u>Special Rapporteur on adequate</u> <u>housing</u>, <u>Special Rapporteur on the right to food</u>, <u>Special Rapporteur on the situation of human rights defend-</u> <u>ers</u>, <u>Special Rapporteur on the rights of indigenous people</u>, <u>Special Rapporteur on the right to safe drinking</u> <u>water and sanitation</u>, <u>Special Rapporteur on violence against women</u>, <u>UN Working Group on business and</u> <u>human rights</u>
- Check the <u>calendar of forthcoming country visits</u> of the UN SR. Before their country visits, they invite for written submissions from CSOs within the country on highlighted thematic issues. Make a joint submission, highlighting the evidences of violations of specific human rights that relate to land issues.
- 3. If there is urgent need, write an <u>urgent letter</u> to the relevant SR.

UPR Review

- 1. Check under https://www.ohchr.org/EN/HRBodies/UPR/Pages/CyclesUPR.aspx when is your country up for review under UPR process.
- 2. Consider providing inputs for "In-country consultations for National Report" by the Government (Start with the process at least a year in advance).
- 3. Consider facilitating and coordinating joint submission for stakeholder's report. Check guidelines under http://www.ohchr.org/EN/HRBodies/UPR/Pages/NgosNhris.aspx.
- 4. Approach UN agencies and permanent missions in Geneva to raise issue on your behalf.

Approach under Office of the High Commissioner for Human Rights

Contact the nearest OHCHR office or UN country team through <u>https://www.ohchr.org/EN/Countries/Pages/Hu-</u>manRightsintheWorld.aspx or contact through civilsociety@ohchr.org to share urgent concerns on safe civic space.

Useful resources

- OHCHR Fact sheet on Land and Human Rights Standards and Applications (check Annex IV it summarizes all land related reporting and decisions of the UN Mandates including General Comments – only upto 2015) https://www.ohchr.org/Documents/Publications/Land_HR-StandardsApplications.pdf.
- OHCHR webpage on the thematic of Land and human rights <u>https://www.ohchr.org/EN/Issues/LandAn-dHR/Pages/LandandHumanRightsIndex.aspx</u> has all links for land related human rights fact sheets, UN mandates that are addressing land and human rights (rights side menu of the page), land related case-laws, sub-thematic resources on land and human rights i.e. women and land; forced eviction; land and conflict; land and governance.
- Reports of the Special Rapporteur on adequate housing
 - A/HRC/7/16, 13 February 2008 main link between access to land and housing
 - A/HRC/43/43, 26 December 2019 touches upon gender equality and land
 - A/74/183, 17 July 2019 touches upon forced eviction and land grabbing in the context of indigenous people's rights
- Reports of the Special Rapporteur on right to food
 - A/HRC/46/33, 24 December 2020 touches upon connection on right to food and land grabbing
 - A/75/219, 22 July 2020 touches upon rights to food and land grabbing

Reference links to most important UN guides and tools

- UN Secretary General's guidance note on land and conflicts <u>https://unhabitat.org/sites/default/files/docu-</u> ments/2019-05/sg-guidance-note-on-land-and-conflict-march-2019-1.pdf
- OHCHR Note on Free Prior and Informed Consent <u>https://www.ohchr.org/Documents/Issues/ipeoples/</u> freepriorandinformedconsent.pdf
- Guidance note on women's land Rights https://www.ohchr.org/Documents/Publications/RealizingWomens-RightstoLand.pdf
- OHCHR page on internally displaced persons https://www.ohchr.org/EN/Issues/IDPersons/Pages/Man-date.aspx
- OHCHR pages on specific issues <u>Urbanization and human rights</u>, <u>Forced evictions</u>, <u>Internally displaced</u> persons, <u>Human rights and climate change</u>, <u>Women and land</u>, property, housing



Step-by-step approach: advocating for gender rights at the UN

Analyse the legal structure	 International legal framework on gender rights Analyze the national laws and policies Is there a gap between the international legal framework and national commitments/ laws specific to women and gender, but also other sectoral laws which may contradict or discriminate? 	
Analyse the issues	 What is your case? Why do you think that it requires international dialogue and cannot be solved at local or national level? Do you have enough evidence to build your case? If your case involves a particular person or group, ensure they are part of your analysis and strategy building, able to make informed decisions about their involvement, possible exposure and risks 	
Analyse the Government position	 What official position has your government taken at the various international platforms on your thematic issue? How has the Government implemented/not implemented its commitments on gender issues? What are risks and how can you manage them? 	
Identify potential allies and form alliances	 Support/facilitate local CSO networking and empower them to raise the case/ issue(s) through coalition/joint actions Map, identify and link with relevant service providers in country: legal aid, pro- tection and health services, women's caucuses etc. Know and approach state representative donors who have made recommen- dations which the government has 'fully' or partially accepted in the frame of UPR, CEDAW and other reporting mechanisms 	
Take action	 Are there enough financial resources available for such action? Get familiar with different UN mechanisms and approach them, considering also interlinkages with other human rights issues e.g. rights of people with disability, indigenous, migrants, IDPs/refugees, youth, workers etc. 	
Follow up	 Follow up with the recommendations (especially those fully or partially accepted by your government) of the UN mechanisms at various levels – in programming, with local coalitions and local government officials (A way to connect local issues with international advocacy) Raise awareness about international analyses and expectations; monitor Strengthen existing advocacy material through authoritative, objective languages, effective strategies and good practice solutions; Improve the quality of dialogue with public officials 	

Background

Convention on the Elimination of All Forms of Discrimination against Women adopted in 1979, is the central and most comprehensive document on women's human rights. The Convention covers three dimensions of women's human rights – civil rights and legal status; dimension of human reproduction and impact of cultural factors on gender relations and is monitored by the UN <u>Committee for the Elimination of Discrimination against Women (CE-DAW)</u>. Apart from CEDAW, various UN human rights bodies such as <u>Working Group on discrimination against</u> women and girls, <u>Special Rapporteur on violence against women</u>, its causes and consequences, Independent Expert on sexual orientation and gender identity continue to monitor women's human rights with following specific focus:

- Women's human rights and gender equality
- Covid 19 and women's rights
- Child and forced marriage
- Gender based violence
- Gender integration
- Gender related concerns in conflict contexts
- Gender stereotyping
- Sexual and Reproductive health and rights
- Women and housing, land and property
- Women human rights defenders

The <u>UN Women</u> was established through a General Assembly Resolution to accelerate progress in achieving gender equality and women's empowerment.

Main International Treaties, Declarations and Standards focused on women's rights

- Universal Declaration of Human Rights (preamble)
- Convention on the Elimination of All Forms of Discrimination against Women
- International Covenant on Civil and Political Rights (articles 2, 3, and 26)
- International Covenant on Economic, Social and Cultural Rights (articles 2,3 and 7 (i)
- Convention on Consent to Marriage, Minimum Age for Marriage and Registration of Marriages
- Beijing Declaration and Platform for Action
- Declaration on the Elimination of Violence against Women
- Convention on the Rights of the Child (CRC)
- UN Guiding Principles on Business and Human Rights
- ILO Convention No. 100 on equal remuneration
- ILO Convention No.111 on discrimination in employment and occupation
- ILO Convention 156 on workers with family responsibilities
- ILO Convention 183 on maternity protection
- ILO Convention 189 on domestic workers
- Geneva Conventions

SDG Goals

SDG target 5.1 (end all forms of discrimination against all women and girls everywhere) and its indicator 5.1.1 (whether or not legal frameworks are in place to promote, enforce and monitor equality and non-discrimination on the basis of sex)

Practical guide to using the UN mechanism on gender rights

Approach under treaty-based mechanisms

- To understand how your government has been projecting itself on the UN platform, study the Common Core Document of your country at <u>https://tbinternet.ohchr.org/_layouts/TreatyBodyExternal/CoreDocuments.aspx</u> Here, there are latest information on what position your government is taking for implementing international human rights laws. It also makes reference to national level implementation of international treaties.
- 2. Check when is your country up for the review at which of the UN committee at https://tbinternet.ohchr. org/_layouts/TreatyBodyExternal/MasterCalendar.aspx?Type=Session&Lang=En
- 3. Go to the relevant committee's page to look for specific information to help you prepare for a joint submission. For Example, https://www.ohchr.org/EN/HRBodies/CEDAW/Pages/CEDAWIndex.aspx
- 4. On the webpage under Meetings and deadlines click on Sessions <u>https://tbinternet.ohchr.org/_layouts/15/TreatyBodyExternal/SessionsList.aspx?Treaty=CEDAW</u> Here you will find the report of your government that has been submitted to the committee for upcoming review, together with List of issues, reply to list of issues and NGO submissions.
- 5. Once you have these documents prepare for a shadow report keeping in mind all the relevant background documents mentioned above (Common core document, state party report, reply to list of issues).
- 6. Most of the committees have issued a note on "Info on working with the civil society" giving technical requirements for shadow report. Links to each are - <u>CEDAW</u>, <u>CESCR</u>, <u>CCPR</u>, <u>CMW</u>
- 7. You can use the same methodology mentioned above also for other UN committees likewise <u>CESCR</u>, <u>CCPR</u>, <u>CMW</u>.

Approach under charter-based mechanism

Special Rapporteurs

- Follow the main mandates of the <u>Special Rapporteur on violence against women, its causes and consequenc-</u> es, <u>Working Group on discrimination against women and girls</u>, <u>Independent Expert on sexual orientation and</u> <u>gender identity</u>. Other UN SR mandates such as <u>Special Rapporteur on the rights to freedom of peaceful</u> <u>assembly and of association</u>, <u>Special Rapporteur on the promotion and protection of the right to freedom of</u> <u>opinion and expression</u>, <u>Special Rapporteur on the situation of human rights defenders</u> also address gender rights in the context of their mandates.
- 2. Check the <u>calendar of forthcoming country visits</u> of the UN SR. Before their country visits, they invite for written submissions from CSOs within the country on highlighted thematic issues. Make a joint submission, highlighting the evidences of violations of fundamental freedoms and closed civic space.
- 3. If there is urgent need, write an urgent letter to the relevant SR.

UPR Review

- 1. Check under https://www.ohchr.org/EN/HRBodies/UPR/Pages/CyclesUPR.aspx when is your country up for review under UPR process.
- 2. Consider providing inputs for "In-country consultations for National Report" by the Government (Start with the process at least six months in advance).
- 3. Consider facilitating and co-ordinating joint submission for stakeholder's report. Check guidelines under http://www.ohchr.org/EN/HRBodies/UPR/Pages/NgosNhris.aspx.
- 4. Prepare a stakeholder's report joint submission.
- 5. Approach UN agencies and permanent missions in Geneva to raise issue on your behalf.

Approach under Office of the High Commissioner for Human Rights

Contact the nearest OHCHR office or UN country team through <u>https://www.ohchr.org/EN/Countries/Pag-es/HumanRightsintheWorld.aspx</u> or contact through <u>civilsociety@ohchr.org</u> to share urgent concerns on safe civic space.

Useful Ressources

UN Documents on key women's right issues

- OHCHR webpage on women: <u>https://www.ohchr.org/EN/Issues/women/wrgs/pages/wrgsindex.aspx</u>
- OHCHR Reports and publication links on Broad-based issues on women's human rights and gender equality, COVID-19 and the human rights of women and girls, Gender-based violence, Gender integration, Gender stereotyping, Sexual and reproductive health, Women and housing, land and property, Women human rights defenders and civic space:

https://www.ohchr.org/EN/Issues/Women/WRGS/Pages/PublicationsAndResources.aspx

- CEDAW General Recommendations: https://www.ohchr.org/EN/HRBodies/CEDAW/Pages/Recommendations.aspx
- Gender Guidance to UNGP on business and human rights:
 https://www.ohchr.org/Documents/Issues/Business/BookletGenderDimensionsGuidingPrinciples.pdf
- COVID-19 and women's rights:
 https://www.ohchr.org/Documents/Issues/Women/COVID-19_and_Womens_Human_Rights.pdf
- OHCHR Reports to General Assembly and Human Rights Council on gender thematic issues: https://www.ohchr.org/EN/Issues/Women/WRGS/Pages/Reports.aspx
- Gender Integration reports: https://www.ohchr.org/EN/Issues/Women/WRGS/Pages/GenderIntegration.aspx

References to other Guides and Tools on gender rights

- IWRAW Asia-Pacific guidance for NGOs on writing shadow report <u>https://cedaw.iwraw-ap.org/for-ngos/</u> Also, check IWRAW Asia-Pacific's Resource page https://www.iwraw-ap.org/resources/
- Infographic: Human rights of women https://www.unwomen.org/en/digital-library/multimedia/2019/12/info-graphic-human-rights
- IJRC Reference guide on Women's human rights <u>https://ijrcenter.org/thematic-research-guides/womens-human-rights/#International_Humanitarian_Law</u>
- Gender and Social Equity Pamoja (helvetas.org)

ANNEX 8

Useful weblinks

Office of the High Commissioner for Human Rights – <u>https://www.ohchr.org/EN/pages/home.aspx</u> UN treaty bodies – <u>http://www.ohchr.org/EN/HRBodies/Pages/TreatyBodies.aspx</u>

Human Rights Council – <u>http://www.ohchr.org/EN/HRBodies/HRC/Pages/HRCIndex.aspx</u>

Universal Periodic Review - <u>http://www.ohchr.org/EN/HRBodies/UPR/Pages/UPRMain.aspx</u>

Special Repporteurs – see under "Overview" there is " thematic mandates" <u>http://www.ohchr.org/EN/HRBod-ies/SP/Pages/Welcomepage.aspx</u>

To check – when is your country coming up for the review under the UPR process <u>http://www.ohchr.org/EN/</u> HRBodies/UPR/Pages/CyclesUPR.aspx

ANNEX 9

How to find official UN documents in the UN database system

- 1. Searching through document number Determine which of the UN body has issued the relevant document through the UN document symbol no.
- 2. Documents that relate to the treaty-based mechanisms (committees), can be searched at <u>http://tbinternet.</u> <u>ohchr.org/_layouts/TreatyBodyExternal/TBSearch.aspx</u> (on this database, you can only filter by the name of the States, committee etc. It is not possible to search through subject or keyword).
- 3. Documents that relate to the charter-based mechanisms, can be searched at http://ap.ohchr.org/documents/mainec.aspx. If you know the number of the document you are looking for, enter it in field "Symbol Number". If you don't have a reference number, first, select the applicable body in the "Body" drop down list (note that this is a mandatory selection field). Then click the symbol to the right of the "Subject" field to access the "List of subjects". Select letter "W" and then "Water and sanitation" for queries related to the right to water and sanitation. Select any further criteria that might be useful (e.g. "Country").
- 4. Check <u>http://uhri.ohchr.org</u> to search views and recommendations of the UN mechanisms on SDGs as well as overviews of recommendations of treaty based mechanisms, UPR process and special procedures. Here, you can search by Country (summary by country), by Sustainable Development Goals (SDGs), or by Human Rights Voluntary Goals (HRVGs). Click "Advanced search" button and filter by "Theme". In the drop-down list, select "E Economic, social and cultural rights"/"E26 Human Rights & drinking water and sanitation".
- 5. Check <u>https://documents.un.org/prod/ods.nsf/home.xsp</u> also for full-text, born-digital UN documents published from 1993 onward, including documents of the Security council, the General Assembly, the Economic and Social Council and their subsidiaries, as well as administrative issuances and other documents.



HELVETAS Swiss Intercooperation Weinbergstrasse 22a, PO Box, 8021 Zurich Phone +41 (0)44 368 65 00

Maulbeerstrasse 10, PO Box, 3001 Berne Phone +41 (0)31 385 10 10

> info@helvetas.org www.helvetas.org